



TEXOMA AREA PARATRANSIT SYSTEM, INC.

AGENDA

BOARD OF DIRECTORS MEETING

Notice is hereby given that a regular meeting of the Board of Directors of the Texoma Area Paratransit System will be held **August 20, 2025 @ 2:00pm** at 6104 Texoma Parkway, Sherman, TX **virtually at:**

[Join the meeting now](#)

Meeting ID: 328 173 157 630 1

Passcode: c4cX3pp6

Agenda as follows:

- I. Establish Quorum, Call to Order and Invocation
- II. Public Comments - Citizens may speak on items listed on this agenda. A "Request to Speak Card" should be completed and returned to the Board Secretary before the Board meeting convenes. Citizen comments are limited to three (3) minutes.
- III. Approval of Board Minutes – Minutes from the May 21, 2025, meeting is attached and submitted for review and consideration of approval.
- IV. Title VI Policy Update- Staff ask Board to consider and/or approve changes to Title VI Policy.
- V. TAPS Delegation of Authority – Staff to seek consideration and adoption of resolution assigning delegation of authority for TXDOT IGX Grants System.
- VI. FTA/TXDOT Certifications and Assurances – FTA/TXDOT requires grantees to execute an agreement providing Certifications and Assurances to program prior to awarding grant funds. Staff request board review and consideration of authorizing the chair to execute the required agreement.
- VII. Non-Emergency Medical Transportation – staff request board review and consideration the execution of contract to provide NEMT services.
- VIII. New Transit Building Update – Staff to discuss and answer questions regarding progress and next steps of TAPS building project.
- IX. Capital Projects – Staff will update the Board on planned capital projects.
- X. Bus Camera System- Staff to discuss purchasing a new bus camera system and request Board approval to move forward with purchase.
- XI. Monthly Financial Update – Staff will review monthly statements and recent bank statement analysis provided in the board packets and answer any questions the Board has regarding reports.



TEXOMA AREA PARATRANSIT SYSTEM, INC.

AGENDA

BOARD OF DIRECTORS MEETING

- XII. FY26 TAPS Budget – Staff will present Board with proposed budget for discussion and consideration for approval.
- XIII. Transdev PPOP Agreement – Staff will present Board with proposed updated Transdev Agreement for discussion and consideration of approval.
- XIV. Operational Update – Staff provide operational reports and will answer any questions the Board has regarding reports.
- XV. Update TAPS ByLaws – Staff requests Board to review possible changes to the TAPS Board of Directors ByLaws to be voted on at the next Board meeting.
- XVI. Executive Session – Pursuant to Chapter 551 of the Texas Government Code, the board may convene into executive session to discuss the following subjects and reconvene to take any action resulting from the Executive Session (the board reserves the right to convene into executive session throughout this meeting):
 - 1. For deliberation of contract negotiations with a third party. Section 551.0725
- XVII. Schedule next Board meeting – Next Board meeting to be tentatively scheduled for November 19, 2025 at 2pm.
- XVIII. Comments by TAPS Board of Directors
- XIX. Adjournment

BOARD MINUTES



**TEXOMA AREA PARATRANSIT SYSTEM
MINUTES OF THE BOARD OF DIRECTORS
REGULAR MEETING
May 21,2025**

MEMBERS PRESENT: Pamela Howeth Chairperson, Doug Kopf, Mike Campbell, Art Arthur, Matt Sicking , Teresa Adams and John Burnett.

MEMBERS MISSING: Kevin Benton and J.D. Clark

STAFF PRESENT: Shellie White, Scott Parten, Bill Null, Joe Penson, Brenda Davis, Christina Zamora and Karen Kemp.

- I. **CALL TO ORDER:** Chairperson Pamela Howeth declared a quorum, calling the meeting to order at 2:00 P.M
- II. **INVOCATION:** Art Arthur provided an invocation.
- III. **PUBLIC COMMENTS:** Citizens may speak on items listed on the agenda as Public Hearings. A "Request to Speak Card" should be completed and returned to the Board Secretary before the Board considers the item listed under Public Hearings. Citizen Comments on public hearings are limited to three (3) minutes.
 - a. There were no speakers.
- IV. **APPROVAL OF BOARD MINUTES:** Board member Pamela Howeth presented the minutes from the February 5,2025, Board Meeting for approval. Board Member John Burnett made a motion to approve the board minutes as presented. Board member Art Arthur seconded the motion. The motion was passed unanimously.
- V. **New Transit Building Update:** Shellie White gave an update on the building progress and expected move in date of July 1,2025.
- VI. **Capital Projects:** Staff updated the Board on planned capital projects. Current project is for security for the building as well as for the buses. With no questions or concerns from the Board.
- VII. **Monthly Financial Update:** Staff presented the financial reports with no questions or concerns from the Board.

- VIII. **Operational Update:** Staff presented the operational report to the Board with no questions or concerns.
- IX. **Public Private Operating Partnership:** Staff discussed the need to publish Request for Proposals for Public Private Operating Partnerships as the Transdev Agreement ends in 2026.
- X. **Clay County Bus Parade:** Staff discussed and requested TAPS bus participation in Clay County Parade. John Burnett Board member made a motion to approve TAPS bus participation in area Parades. Board member Art Arthur seconded the motion. The motion was passed unanimously.
- XI. **Schedule next Board Meeting:** Meeting was set for August 20, at 2pm.
- XII. **Comments by TAPS Board of Directors:** None.
- XIII. **Adjournment:** Meeting adjourned at 3:10.

TITLE VI UPDATE

Texoma Area Paratransit System, Inc. (TAPS)

Title VI Plan

Updated: August 2025

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TITLE VI Notice to the Public

The Texoma Area Paratransit System (TAPS) Notice to the Public is as follows:

Notifying the Public of Rights Under Title VI

TAPS

TAPS operates its programs and services without regard to race, color, and national origin in accordance with Title VI of the Civil Rights Act. Any person who believes she or he has been aggrieved by any unlawful discriminatory practice under Title VI may file a complaint with TAPS.

For more information on TAPS' civil rights program, the procedures to file a complaint, or to file a complaint contact (844) 603-6048, Email: shellie.white@transdev.com, or visit our administrative office at Texoma Area Paratransit System (TAPS) 6104 Texoma Parkway, Sherman, TX 75090. For more information, visit www.tapsbus.com

A complaint may also be filed directly with the:

Texas Department of Transportation, Attn: TxDOT-PTN, 125 E. 11th Street, Austin, TX 78701-2483, or

Federal Transit Administration, Office of Civil Rights, Attention: Title VI Program Coordinator, East Building, 5th Floor-TCR, 1200 New Jersey Ave., SE Washington, DC, 20590.

If information is needed in another language, contact (903) 893-2161.

Notificación al Público de los Derechos Garantizados por Título VI - Espanol

TAPS

TAPS opera sus programas y servicios sin importar raza, color y origen nacional, de acuerdo con el Título VI de la Ley de Derechos Civiles. Cualquier persona que crea que ha sido agraviada por cualquier práctica discriminatoria ilegal bajo el Título VI puede presentar una queja con TAPS.

Para obtener mas información sobre el programa de derechos civiles de TAPS, para obtener información sobre los procedimientos para presentar una queja, llame al (844) 603-6048, Email: shellie.white@transdev.com, o visite nuestra oficinas administrativas en TAPS 6104 Texoma Parkway, Sherman, TX 75090. Para obtener más información, visite www.tapsbus.com

También se puede presentar una queja directamente con:

Departamento de Transporte de Texas, a la atención de: TxDOT-PTN, 125 E. 11th Street, Austin, TX 78701-2483, or

Administración Federal de Tránsito, Oficina de Derechos Civiles, Atención: Coordinador del Programa de Título VI, East Building, 5th Floor-TCR, 1200 New Jersey Ave., SE Washington, DC, 20590.

Si se necesita información en otro idioma de contacto (903) 893-2161.

The Texoma Area Paratransit System's (TAPS) Notice to the Public is posted in the following locations:

Agency website: tapsbus.com

Public office

Reception areas

Meeting rooms

Inside vehicles

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Title VI Complaint Procedures

The Texoma Area Paratransit System's (TAPS) Title VI Complaint Procedure is made available in the following locations:

Agency website: tapsbus.com

Hard copy in the central office

Available in appropriate languages for LEP populations, meeting the Safe Harbor Threshold.

Any person who believes she or he has been discriminated against on the basis of race, color, or national origin by the Texoma Area Paratransit System (TAPS) may file a Title VI complaint by completing and submitting the agency's Title VI Complaint Form. Complaint forms can be found at: Texoma Area Paratransit System (TAPS), or requested at: 6104 Texoma Pkwy Sherman, TX 75090 via mail or in person.

The Texoma Area Paratransit System (TAPS) investigates complaints received no more than 180 days after the alleged incident. The Texoma Area Paratransit System's (TAPS) will process complaints that are complete.

Once the complaint is received, the Texoma Area Paratransit System (TAPS) will review it to determine if our office has jurisdiction. (A copy of each Title VI complaint received will be forwarded to TxDOT Public Transportation Coordinator within ten (10) calendar days of receipt.) The complainant will receive an acknowledgement letter informing her/him whether the complaint will be investigated by our office.

The Texoma Area Paratransit System (TAPS) has 30 days to investigate the complaint. If more information is needed to resolve the case, Texoma Area Paratransit System (TAPS) may contact the complainant.

The complainant has 10 business days from the date of the letter to send requested information to the General Manager to investigate the case.

If the General Manager is not contacted by the complainant or does not receive the additional information within 10 business days, Texoma Area Paratransit System (TAPS) can administratively close the case. A case can be administratively closed also if the complainant no longer wishes to pursue their case.

After the General Manager reviews the complaint, she/he will issue one of two (2) letters to the complainant: a closure letter or a letter of finding (LOF).

A closure letter summarizes the allegations and states that there was not a Title VI violation and that the case will be closed.

A letter of finding (LOF) summarizes the allegations and the interviews regarding the alleged incident, and explains whether any disciplinary action, additional training of the staff member, or other action will occur.

If the complainant wishes to appeal the decision, she/he has 30 days after the date of the letter or the LOF to do so.

A person may also file a complaint directly with the: Texas Department of Transportation, Attn: TxDOT-PTN, 125 E. 11th Street, Austin, TX 78701-2483, or Federal Transit Administration Office of Civil Rights, Attention: Title VI Program Coordinator, East Building, 5th Floor-TCR, 1200 New Jersey Ave., SE Washington, DC, 20590.

If information is needed in another language, then contact (844) 603-6048.

Transit Related Title VI Investigations, Complaints and Lawsuits

The Texoma Area Paratransit System (TAPS) maintains a list or log of all Title VI investigations, complaints and lawsuits pertaining to its transit-related activities.

Check One:

✓ There have been no investigations, complaints, and/or lawsuits filed against us since the last plan submission.

____ There have been investigations, complaints and/or lawsuits filed against us. *See list below.*
Attach additional information as needed.

	Date (Month, Day, Year)	Summary (include basis of complaint Race, color, or national origin)	Status	Action(s) Taken
Investigation				
1.				
Lawsuits				
1.				
Complaints				
1.				

Public Outreach & Participation

Strategies and Desired Outcomes

To promote inclusive public participation, the Texoma Area Paratransit System (TAPS) will employ the following strategies, as appropriate:

- Provide for early, frequent, and continuous engagement by the public by holding open Board meetings.

- Accessible meeting locations and times.

- Employ different meeting sizes and formats.

- Use radio, television, or newspaper ads on stations and in publications that serve LEP populations.

- Outreach to LEP populations may also include audio.

- Expand traditional outreach methods by visiting ethnic stores/markets and restaurants, community centers, libraries, faith-based institutions, local festivals, etc.

Public Outreach Activities

The public outreach and involvement activities conducted by the Texoma Area Paratransit System (TAPS) since the last Title VI Program have consisted of open Board meetings, participation in updating the TIP in partnership with the Grayson County MPO, participation in updating the Texoma Regional Coordinated Transportation Plan, Access North Texas with NCTCOG, and Nortex Regionally Coordinated Transportation Planning Committee meetings.

Public Hearing Procedures for TAPS

Public hearing procedures regarding Major, Minor and Emergency service changes are covering in Appendix I of this document.

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Limited English Proficiency ("LEP")

Plan Components

As a recipient of federal US DOT funding, the Texoma Area Paratransit System (TAPS) is required to take reasonable steps to ensure meaningful access to our programs and activities by limited-English proficient (LEP) persons.

Limited English Proficient (LEP) refers to persons for whom English is not their primary language and who have a limited ability to read, write, speak, or understand English. This includes those who have reported to the U.S. Census that they speak English less than very well, not well, or not at all.

The Texoma Area Paratransit System (TAPS) Language Assistance Plan includes the following elements:

- Item #1: The results of the *Four Factor Analysis*, including a description of the LEP population(s), served.
- Item #2: A description of how language assistance services are provided by language
- Item #3: A description of how LEP persons are informed of the availability of language assistance service
- Item #4: A description of how the language assistance plan is monitored and updated
- Item #5: A description of how employees are trained to provide language assistance to LEP persons

Four Factor Analysis Methodology

To determine if an individual is entitled to language assistance and what specific services are appropriate, the Texoma Area Paratransit System (TAPS) has conducted a *Four Factor Analysis* of the following areas: 1) LEP Demography, 2) Contact Frequency, 3) Importance of Service, and 4) Resources and Costs.

Factor 1: The number or proportion of LEP persons eligible to be served or likely to be encountered by the program or recipient. In addition to the number or proportion of LEP persons served, the Texoma Area Paratransit System (TAPS) will identify:

- (a) How LEP persons interact with the recipient's agency;
- (b) Identification of LEP communities, and assessing the number or proportion of LEP persons from each language group to determine the appropriate language services for each language;
- (c) The literacy skills of LEP populations in their native languages, in order to determine whether translation of documents will be an effective practice; and
- (d) Whether LEP persons are underserved by the recipient due to language barriers.

Factor 2: The frequency with which LEP persons come into contact with the program.: Identifies and assesses the frequency Texoma Area Paratransit System's (TAPS) staff comes into contact with LEP persons. Examples of contact could include:

- (a) Use of bus service;
- (b) Purchase of tickets through vending machines, outlets, websites, and over the phone;
- (c) Participation in public meetings;
- (d) Customer service interactions;
- (e) Ridership surveys and;
- (f) Operator surveys.

Factor 3: The nature and importance of the program, activity, or service provided by the program to people's lives. Generally speaking, the more important the program, the more frequent the contact and the likelihood that language services will be needed.

This section discusses how the Texoma Area Paratransit System's (TAPS) program and services impact the lives of people within the community. The Texoma Area Paratransit System (TAPS) will specify the community organizations that serve LEP persons, if available.

Factor 4: The resources available to the recipient for LEP outreach, as well as the costs associated with that outreach. Resource and cost issues can often be reduced by technological advances, reasonable business practices, and the sharing of language assistance materials and services among and between recipients, advocacy groups, LEP populations and Federal agencies. Large entities and those entities serving a significant number of LEP persons should ensure that their resource limitations are well substantiated before using this factor as a reason to limit language assistance.

The summary below discusses the low-cost methods the Texoma Area Paratransit System (TAPS) uses to provide outreach to LEP persons as well as train staff (and transit provider/lessee, if applicable) on Title VI and LEP principles.

Item #1 - Results of the Four Factor Analysis *(including a description of the LEP population(s) served)*

Factor 1: The number or proportion of LEP persons eligible to be served or likely to be encountered.

TAPS provides service in 6 North Texas counties. Staff reviewed the 2023 ACS 5 Year Estimates via U.S. Census Bureau database at <https://data.census.gov/> and compiled the following statistics to identify the number or proportion of LEP persons eligible to be served or likely to be encountered by TAPS.

Staff reviewed the 2023 American Community Survey 5-Year Estimates data for Table S1601: Language Spoken at Home by Ability to Speak English for the Population 5 Years and Over for each of the 6 counties in TAPS' service area. In the service area, Spanish speaking individuals who reported speaking English "less than well" consistently meets the safe harbor threshold of either 1,000 individuals or 5% of the total population. No other language group exceeds 1,000 persons in the TAPS service area. The chart depicting this data is below is in Appendix C.

Factor 2: The frequency with which LEP persons come into contact with the program.

Based on surveying staff it has been determined that contact with the LEP population has been limited. Bus operators have advised staff that there is very little if any contact with LEP persons. As of this date, TAPS has not received any request for language or document translation. Based on review of Census

Bureau ACS data from 2023, Spanish remains the dominant language with less than half of the Spanish speaking population speaking English less than very well.

Factor 3: The nature and importance of the program, activity, or service provided by the program to people's lives.

The nature of TAPS services to the LEP persons is to provide information and documents discussing its services in both English and Spanish. Spanish is the predominant language other than English found in the TAPS service area. Information is available on the vehicles and on the website. As TAPS continues to recover and grow, public input sessions will be held to gather information on the needs of the LEP population.

Factor 4: The resources available for LEP outreach, as well as the costs associated with that outreach.

Although TAPS is operating on a very limited budget, every effort is being made to ensure resources are utilized to provide LEP assistance. As new and existing documents are reprinted, they will be reviewed to determine if they need to be translated into languages utilized in the service area. I-Speak cards are available on the vehicles and from staff members. Information is also available on the website. TAPS has not experienced any request for translator or interpreter services. The TAPS website can be translated into a variety of languages. Notices on vehicles are provided in English and Spanish.

Based on the four-factor analysis, TAPS developed its Language Assistance Plan (LAP) as outlined in the following section. As TAPS has no paid staff and relies on contracted services for operations and professional services, all staff are referred to as contractors below.

Item# 2 - Description of how Language Assistance Services are Provided, by Language

1. Census Bureau Language Identification Flashcards are on all transit vehicles to assist vehicle operators in identifying specific language assistance needs of passengers. If such individuals are encountered, vehicle operators will be instructed to try to obtain contact information so that the General Manager or designee may follow up.
2. Examine records to see if requests for language assistance have been received in the past, either at meetings or over the phone to determine whether language assistance might be needed for future events or meetings.
3. Have contractors greet participants as they arrive to TAPS events or meetings. By informally engaging participants in conversation, it is possible to gauge each attendee's ability to speak and understand English.
4. Vehicle operators and dispatchers will be surveyed periodically on their experience concerning any contacts with LEP persons since the previous plan update.

Item# 3 -	Description of how LEP Persons are Informed of the Availability of Language Assistance Service
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When TAPS contractors prepare a document or schedule a meeting, for which the target audience is expected to include LEP individuals, then documents, meeting notices, flyers, and agendas will be printed

in an alternative language based on the known LEP population. Interpreters will be made available as needed.

J Item# 4 -Description of how the Language Assistance Plan is Monitored and Updated

TAPS will update the LEP Plan as required by USDOT. At a minimum, the plan will be reviewed and updated if it becomes clear that higher concentrations of LEP individuals are present in the TAPS service area. Updates will include the following:

- The number of documented LEP person contacts encountered annually
- How the needs of LEP persons have been addressed
- Determination of the current LEP population in the service area
- Determination as to whether the need for translation services has changed
- Determine whether local language assistance programs have been effective and sufficient to meet the needs of the LEP population
- Determine whether TAPS's financial resources are sufficient to fund language assistance resources if needed
- Determine whether TAPS has fully complied with the goals of this LEP Plan
- Determine whether complaints have been received concerning TAPS failure to meet the needs of LEP individuals

J Item# 5 - Description of how Employees are Trained to Provide Language Assistance to LEP Persons

The following training will be provided to Contractor/Staff:

1. Information on TAPS Title VI Procedures and LEP responsibilities
2. Description of language assistance programs available to the public
3. Use of Language Identification Flashcards ("I Speak Cards")
4. Documentation of language assistance requests
5. How to handle a potential Title VI/LEP complaint

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Dissemination of the TAPS LEP Plan

TAPS will post notices in accessible locations notifying LEP persons of the LEP Plan,

Monitoring and Updating the LEP Plan

TAPS will update the LEP Plan as required. At a minimum the plan will be reviewed and updated when new Census data is made available. The Plan will also be updated when it is determined that there is a higher concentration of LEP individuals in the service area.

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Membership of Non-elected Committees and Councils.

Recipients that have transit-related, non-elected planning boards, advisory councils or committees, or similar committees, the membership of which is selected by the recipient, must provide a table depicting the racial breakdown of the membership of those committees, and a description of efforts made to encourage the participation of minorities on such committees.

A. Minority Representation Table

Table Depicting Membership of Board, Committees, Councils, Broken Down by Race

Body	Caucasian	Hispanic	African American	Asian American	Native American	Two or More Races
Population	%	%	%	%	%	%
	%	%	%	%	%	%

TAPS does not currently have transit-related, non-elected planning boards, advisory councils or committees, or similar bodies, the membership of which is selected by the TAPS.

Equity Analysis for Facilities

Any construction of transit facilities with federal financial assistance will include a Title VI equity analysis during the planning stage with regard to where a project is located or sited to ensure the location is selected without regard to race, color, or national origin.

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Appendix A. Title VI Complaint Form

Title VI Complaint Form

The Texoma Area Paratransit System (TAPS) Title VI Complaint Procedure is made available in the following locations:

Agency website

Hard copy in the central office

Available in appropriate languages for LEP populations, meeting the Safe Harbor Threshold.

Section I:		
Name:		
Address: Telephone (Home):		Telephone (Work):
Email Address:		
Accessible Format Requirements?	Large Print TDD	Audio Tape Other
Section II:		
Are you filing this complaint on your own behalf?		Yes* No
*If you answered "yes" to this question, go to Section III.		
If not, please supply the name and relationship of the person for whom you are complaining:		
Please explain why you have filed for a third party:		
Please confirm that you have obtained the permission of the aggrieved party if you		Yes No
are filing on behalf of a third party.		
Section III:		
I believe the discrimination I experienced was based on (check all that apply): ☐ Race ☐ Color ☐ National Origin Date of Alleged Discrimination (Month, Day, Year): _____ Explain as clearly as possible what happened and why you believe you were discriminated against. Describe all persons who were involved. Include the name and contact information of the person(s) who discriminated against you (if known) as well as names and contact information of any witnesses. If more space is needed, please use the back of this form. _____ _____		
Section IV		
Have you previously filed a Title VI complaint with this agency?		Yes No
Section V		

Have you filed this complaint with any other Federal, State, or local agency, or with any Federal or State court? ☐ Yes ☐ No If yes, check all that apply: ☐ Federal Agency: _____ ☐ Federal Court _____ ☐ State Agency ☐ State Court _____ ☐ Local Agency	
Please provide information about a contact person at file agency/court where the complaint was filed.	
Name:	
Title:	
Agency:	
Address:	
Telephone:	
Section VI	
Name of agency complaint is against:	
Contact person:	
Title:	
Telephone number;	

You may attach any written materials or other information that you think is relevant to your complaint.

Signature and date required below

Signature	Date

Please submit this form in person at the address below, or mail this form to:

Texoma Area Paratransit System (TAPS)

6104 Texoma Parkway

Sherman, TX 75090

Titulo VI Queja Forma- Espanol

El Texoma area Paratransit sistema (TAPS) titulo VI procedimiento de quejas esta a su disposici6n en los siguientes lugares:

sitio web de la Agencia

copia en la oficina central

Disponible en idiomas apropiados para las poblaciones de LEP. el umbral de puerto seguro de reunion.

Sección I:				
Nombre:				
Dirección:				
Dirección de correo electrónico:				
Requisitos de formato accesible?	Letra Grande		Adjetivo de Audio	
	TDD		Otro	
Sección II:				
¿Esta presentando esta denuncia en su nombre?			Si*	No
* Si usted contestó "sí" a esta pregunta, vaya a la Sección III.				
Si no, por favor proporcione el nombre y la relación de la persona para quien se queja:				
Por favor explique por qué han presentado por un tercero: _____				
Por favor confirme que ha obtenido el permiso de la parte agraviada si esta presentando en nombre de un tercero.			Si	No
Sección III:				
Creo que la discriminación que viví fue basada en (marque todos que aplican):				
☐ Raza ☐ Color ☐ Origen Nacional				
Fecha de la su última discriminación (mes, día, año): _____				
Explicarlo mas claramente posible lo que sucedió y por qué usted cree que fueron discriminados. Describa todas las personas que participaron. Incluir el nombre e información de contacto de la persona que discriminó (si se conoce) así como los nombres y la información de contacto de cualquier testigo. Si necesitamos espacio, utilice el dorso de este formulario.				
Sección IV:				
¿Usted ha presentado anteriormente una queja del título VI con esta agencia?			Si	No
Sección V:				
¿Se presentó esta queja con cualquier otro Federal, estado o agencia local o con cualquier Tribunal Federal o estatal?				
☐ Si ☐ No				

En caso afirmativo, marque todas las que aplican:	
[J Agencia Federal:	
[] Corte Federal:	[J Agencia Estatal:
(J Corte Estatal:	[] Agencia Local:
Sirvanse facilitar información sobre una persona de contacto en la Agencia/tribunal donde se presentó la queja.	
Nombre:	
Título:	
Agencia:	
Dirección:	
Teléfono:	
Sección VI	
Nombre de denuncia de la agencia esta en contra de:	
Persona de contacto:	
Título:	
El número de teléfono:	

usted puede conectar cualquier material escrito u otra información que crees que es relevante a su queja.
Pinna y fecha especificadas a continuación

-Firma Fecha

Por favor enviar este formulario personalmente en la siguiente dirección, o enviar por correo este formulario a:

Sistema de paratransito de area de Texoma (golpecitos)

6104 Texoma Parkway

Sherman, TX 75090

Appendix B. Language Identification Flashcard

2004 Census Test	United States Census 2010 LANGUAGE IDENTIFICATION FLASHCARD
☐ ضع علامة في هذا المربع إذا كنت تقرأ أو تتحدث العربية.	1. Arabic
☐ Խաղաղամ' ենք 'սչում' կատարեք այս թառակաւում', եթե խաղաւմ' կամ' կաղաղամ' եք հայերեն:	2. Armenian
☐ যদি আপন বাংলা পড়েন বা বলেন তা হলে এই বাক্সে দাগ দিন।	3. Bengali
☐ ប្រសិនបើអ្នកចេះអាន ឬនិយាយភាសាខ្មែរ ។	4. Cambodian
☐ Moika i kalhon ya yangin untungnu' manaitai pat untungnu' kumentos Chamorro.	5. Chamorro
☐ 如果你能读中文或讲中文，请选择此框。	6. Simplified Chinese
☐ 如果你能讀中文或講中文，請選擇此框。	7. Traditional Chinese
☐ Označite ovaj kvadratić ako čitate ili govorite hrvatski jezik.	8. Croatian
☐ Zaškrtněte tuto kolonku, pokud čtete a hovoříte česky.	9. Czech
☐ Kruis dit vakje aan als u Nederlands kunt lezen of spreken.	10. Dutch
☐ Mark this box if you read or speak English.	11. English
☐ اگر خواندن و نوشتن فارسی بلد هستید، این مربع را علامت بزنید.	12. Farsi

☐	Cocher ici si vous lisez ou parlez le français.	13. French
☐	Kreuzen Sie dieses Kästchen an, wenn Sie Deutsch lesen oder sprechen.	14. German
☐	Σημειώστε αυτό το πλαίσιο αν διαβάζετε ή μιλάτε Ελληνικά.	15. Greek
☐	Make kazyé sa si ou li nswa ou pale kreyòl ayisyen.	16. Haitian Creole
☐	अगर आप हिन्दी बोलते या पढ़ सकते हैं तो इस बक्स पर चिह्न लगाएँ।	17. Hindi
☐	Kos lub voj no yog koj paub twm thiab hais lus Hmoob.	18. Hmong
☐	Jelölje meg ezt a kockát, ha megérti vagy beszél a magyar nyelvet.	19. Hungaria
☐	Markaan daytoy nga kahon no makabasa wento makasaka iti Ilocano.	20. Ilocano
☐	Marchi questa casella se legge o parla italiano.	21. Italian
☐	日本語を讀んだり、話せる場合はここに印を付けてください。	22. Japanese
☐	한국어를 읽거나 말할 수 있으면 이 칸에 표시하십시오.	23. Korean
☐	ໂຕປາມ/ໂຕຂີດຢູ່ ຖ້າເຂົາເຈົ້າຮູ້ພາສາລາວ.	24. Laotian
☐	Prosimy o zaznaczenie tego kwadratu, jeżeli posługuje się Pan/Pani językiem polskim.	25. Polish

☐	Assinale este quadrado se você lê ou fala português.	26. Portuguese
☐	Însemnați această căsuță dacă citiți sau vorbiți românește.	27. Romanian
☐	Пометите этот квадратик, если вы читаете или говорите по-русски.	28. Russian
☐	Обележите овај квадратичић уколико читате или говорите српски језик.	29. Serbian
☐	Označte tento štvorček, ak viete čítať alebo hovoriť po slovensky.	30. Slovak
☐	Marque esta casilla si lee o habla español.	31. Spanish
☐	Markahan itong kwadrado kung kayo ay maraming magbasa o magsalita ng Tagalog.	32. Tagalog
☐	မှတ်တမ်းတင်သည့် နံပါတ်ကို ဖတ်ရှုခြင်း သို့မဟုတ် ပြောဆိုခြင်းကို ရည်ညွှန်းပါသည်။	33. Thai
☐	Māka'a 'i he paha ni kapau 'oku ke lau pe lea fakatonga.	34. Tongan
☐	Відмітьте цю клітинку, якщо ви читаете або говорите українською мовою.	35. Ukranian
☐	اگر آپ اردو پڑھتے یا بولتے ہیں تو اس خانے میں نشان لگائیں۔	36. Urdu
☐	Xin đánh dấu vào ô này nếu quý vị biết đọc và nói được Việt Ngữ.	37. Vietnamese
☐	באצייכנט דעם קעסטל אויב איר לייענט אדער רעדט אידיש.	38. Yiddish

Appendix C. TAPS Language Table

County	County Population	% Speaks Spanish and English less than "Very Well"	% Speaks Other Indo- European and English less than "Very Well"	% Speaks Asian and Pacific Island and English less than "Very Well"	% Speaks Other and English less than "Very Well"
Clay	9,931	1.68%	0.02%	0.19%	0.00%
Cooke	39,802	5.53%	0.36%	0.17%	0.00%
Fannin	34,711	3.04%	0.08%	0.25%	0.01%
Grayson	135,543	4.05%	0.17%	0.22%	0.01%
Montague	19,516	2.28%	0.12%	0.00%	0.00%
Wise	68,099	6.01%	0.11%	0.11%	0.00%

Appendix D. Log of LEP Encounters

Date	Time	Language Spoken By Individual <i>(if available)</i>	Name and Phone Number of Individual <i>(if available)</i>	Service Requested	Follow Up Required	Staff Member Providing Assistance	Notes
6 times weekly		Spanish	Pedro Santana	Transportation	No	Karen Kemp	Dialysis Transport
6 times weekly		Spanish	Maria Alvarado	Transportation	No	Karen Kemp	Dialysis Transport

Appendix E. Title VI Plan Approval

Title VI Plan

Adopted on: 8/20/2025

Adopted by:

Pamela L. Howeth, TAPS Board Chair

Appendix F. Title VI Plan Revision Log

Date	Section Revised	Summary of Revisions
1-29-2020	Various sections related to phone number and address change	Various sections related to phone number and address change
1-29-2020	Four factor Analysis	Updated based on population tables for ACS 2018
1-29-2020	Appendix I	Public hearing procedures regarding major service changes.
8-17-2022	Equity Analysis For Facilities	TAPS is in the process of building a new facility on property previously owned by TAPS.
8-7-2025	The number or proportion of LEP persons eligible to be served or likely to be encountered by TAPS	Updated the Language table in Appendix C based on 2023 ACS 5-Year Estimates Table S1601.

Appendix G. Description of Organization and Services Provided

Texoma Area Paratransit System (TAPS) is a political subdivision of the State of Texas. It has been established as a rural transportation district. TAPS operates public transportation service for a six-county area in North Texas. The counties TAPS operates in are Clay, Cooke, Fannin, Grayson, Montague and Wise. TAPS also provides public transportation service in the Urbanized Area of Sherman and Denison within Grayson County. TAPS is governed by a nine (9) member Board of Directors. The members are comprised of elected officials from each of the counties and larger cities served by the TAPS region.

TAPS operates demand response public transportation within its region. Currently the number of transit-related employees is 29. TAPS operates 17 vehicles at maximum service.

Appendix H. Title VI Policy Statement

Policy Statement

The Texoma Area Paratransit System (TAPS), as a recipient of Federal Transit Administration (FTA) grant dollars either directly from FTA or through the Texas Department of Transportation (TxDOT), will comply with the Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the U.S. Department of Transportation implementing regulations, FTA Circular 4702. IB, and TxDOT PTN requirements as specified in Master Grant Agreement, and State Management Plan.

Appendix I. Public Hearing Procedures Related to Service Changes

Public Hearing Procedures for Texoma Area Paratransit System (TAPS)

TAPS will use the following procedures to obtain, record, and incorporate comments obtained in a public forum as dictated by federal protocol in the event of that recommended service changes warrant. Depending on the type and extent of the proposed changes, TAPS will advertise a public hearing. In addition, TAPS will include a public comment period during its monthly regularly scheduled Board meetings, as per procedure.

TAPS Board regularly meets at 2:00 pm on a Wednesday determined by the Board during at Board meeting and set the venue for the meeting at that time as well. As advertised via the Agenda posted on the front door of the administrative office at 1d on the website.

TAPS Board meetings are advertised on the TAPS website (tapsbus.com) and on the front door of the administrative offices (6104 Texoma Parkway, Sherman, TX 75092) prior to the regularly scheduled Board meetings as are the approved meeting minutes which include the next meeting date. The TAPS website will include a public hearing notice at least 72 hours in advance of a Board meeting **accompanied by announcements of all major or minor service changes under consideration.**

I. Fare Increases or Major Service Changes -- Public Hearing Requirements

A major service change constitutes: 1) a fare increase; 2) reducing or increasing overall service provided by more than 10%; or 3) making schedule changes that comprise more than 25% of all TAPS service provided. When it is necessary to implement a major service change, a special public hearing will be called and advertised 10 days in advance.

II. Minor Service Changes -- Public Comment Period at TAPS Meetings

TAPS will review all minor service changes in a public forum at the regular Board meetings. All changes that do not meet the definition of a major service increase or fare increase will be considered to be minor service changes. Minor service changes will not require a public hearing process but must be reviewed and approved by the TAPS Board at a regularly scheduled Board meeting. Any request for minor service changes will be announced at a regularly scheduled Board meeting, and related changes will be posted on the TAPS website and on the vehicles.

Fare decreases, service increases, or special fares that are considered minor service changes will be announced at the TAPS meetings. The TAPS Board will hear public comment on these changes as part of their regularly scheduled TAPS meetings.

III. Emergency Changes -- Public Hearing Requirements

In the event of an emergency that makes it necessary for the TAPS to act quickly and implement changes without a public hearing or public comment period advertised 10 days in advance, the TAPS Executive Committee will confer in person or via phone to implement the following emergency procedures. Any changes implemented on an emergency basis will be submitted to the full board for ratification at the next TAPS board meeting. Such emergencies will include an immediate need to address an unforeseen budgetary crisis.

- Review the emergency changes with the TAPS and obtain approval.
- At least two weeks (10 business days) prior to the proposed changes, the TAPS will announce the proposed emergency changes along with the option of a public hearing to the public by placing notices on all vehicles, requesting public announcement of emergency changes by the local newspaper, and placing one ad in the major local newspaper (Herald Democrat).

- The TAPS will hold a public hearing only if it receives substantial feedback from the public and the public demonstrates a significant interest in holding a public hearing.
- If there is no upcoming required public hearing, the General Manager can implement the emergency changes following the two-week public notification requirement with TAPS Executive Committee approval.

IV. Annual Public Hearings

There will be no regularly scheduled public hearings unless one is required to comply with federal procedure.

V. Public Notification Procedures for TAPS Public Hearings

- For public hearings required in Sections I, II, III or IV above, the TAPS will notify the public as follows:
- Run ads in the major local newspaper, Herald Democrat, at least two times within the two weeks (10 business days) preceding the meetings.
- Post notices regarding the public hearings in every vehicle two weeks prior to the hearings. The telephone number of the TAPS office will also be posted with instructions to call if there are any questions.
- Post a public hearing notice on the TAPS website, www.tapsbus.com, at least two weeks before the hearings.

VI. Procedures for TAPS Public Hearings

- Public hearings shall be advertised a minimum of ten (10) days prior to the date of the scheduled public hearing date.
- At least one member of the Board shall be present for the conduct of public hearings.
- The Chairman, Vice Chairman or in their absence another member of the Board shall preside at the hearing.
- The presiding member of the Board shall call the public hearing to order at the prescribed time.
- A summary statement explaining the topic of the hearing shall be read after which the Chair shall open the floor for comments from the general public.
- Each speaker shall provide his or her name, address and name of agency or group being represented if appropriate, for the record using a comment card provided at the meeting.
- All speakers shall address the Board and only one person shall be allowed to speak at a time.
- Comments shall be limited to those pertaining to the topic of the public hearing.
- Speakers shall not address others in the audience.
- Each speaker shall be allowed three minutes.
- Written comments on the matter shall be received for three (3) working days after the close of the public hearing.
- All comments received shall be taken under advisement and reviewed during the course of addressing the particular matter for which the hearing was called.
- A summary of comments shall be prepared and presented to the Board.

Texoma Area Paratransit System, Inc.

6104 Texoma Parkway, Sherman, TX. 75090

Call 844-603-6048 To Get A Ride!

RESOLUTION NO. 25-2040

A RESOLUTION FOR ADOPTION OF TAPS TITLE VI PROGRAM PLAN CIVIL RIGHTS ACT OF 1967.

WHEREAS, TAPS is a Political Subdivision providing small urban and rural transportation and is a recipient of Federal Transit Administration (FTA) and Texas Department of Transportation (TxDOT) funding; and

WHEREAS, TAPS desires to comply with Title VI of the Civil Rights Act of 1964, as delineated in the U.S. Department of Transportation's FTA Circular 4702.1B; and

WHEREAS, the Board of Directors of TAPS wishes to authorize approval of the plan developed to comply with the necessary provisions of the Civil Rights Act.

NOW THEREFORE BE IT RESOLVED BY THE TAPS GOVERNING BOARD AS FOLLOWS:

1. The General Manager is authorized to implement components of the plan in order to meet federal requirements.
2. The General Manager is authorized to implement policies that may be necessary to comply with the subsequent revisions or interpretations to the Civil Rights Act.

PASSED AND APPROVED on this the 20th day of August 2025 by the Texoma Area Paratransit System (TAPS) governing board.

Pamela L. Howeth, Board Chair

TAPS
DELEGATION
OF
AUTHORITY

Texoma Area Paratransit System, Inc.

6104 Texoma Parkway, Sherman, TX. 75091-2008

Call 844-603-6048 To Get A Ride!

RESOLUTION NO. 35-2025

A RESOLUTION OF THE TEXOMA AREA PARATRANSIT SYSTEM (TAPS) GOVERNING BOARD TO DELEGATE SIGNATURE AUTHORITY

WHEREAS, TAPS is a government entity in the state of Texas that provides transportation services in six counties across North Central Texas and receives funding from Texas DOT and FTA.; and

WHEREAS, TXDOT and FTA requires that signatories be formally granted the authorization to sign on behalf of TAPS; and

WHEREAS, TAPS recognizes that approval to sign/execute documents with TXDOT and FTA must be monitored and updated;

NOW, THEREFORE, BE IT RESOLVED,

1. The Board adopts and approves this resolution and gives signature authority to the individual(s) identified on the form following this resolution for the designated roles.
2. The Board further directs the General Manager to submit this form to TXDOT and FTA.

**PASSED, APPROVED AND ADOPTED BY THE GOVERNING BODY OF THE
TEXOMA AREA PARATRANSIT SYSTEM ON THIS 20TH DAY OF AUGUST 2025.**

Pamela Howeth, Board Chair

CERTIFICATION OF SIGNATURE AUTHORITY

To: Texas Department of Transportation, Public Transportation Division

From: Governing Body Chair

Agency:

Effective Date: August 20, 2025

I, Pamela L. Howeth

(Name of Chair)

TAPS Board Chair

(Title)

certify that the individuals listed in the table below have signature authority for the documents specified.
Their signature is considered binding on the agency.

_____(Signature) Aug 20, 2025

Name/Function Title		
1. Pamela L. Howeth TAPS Board Chair	☐	All Documents
	☒	Master Grant Agreement / Amendments
	☒	FTA and TxDOT Certifications & Assurances
	☒	Project Grant Agreements / Amendments
	☒	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships
2. Matt Sicking TAPS Board Treasurer	☐	All Documents
	☒	Master Grant Agreement / Amendments
	☒	FTA and TxDOT Certifications & Assurances
	☒	Project Grant Agreements / Amendments
	☒	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships

Name/Function Title		
3. Shellie White General Manager	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☒	Project Grant Agreements / Amendments
	☒	Obligation Certification (Grant Application)
	☒	Request for Reimbursement / Refunds
	☒	Scholarships
4. Christina Zamora Grants Administrator	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☒	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☒	Request for Reimbursement / Refunds
	☒	Scholarships
5. Brenda Davis Accounting Assistant	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☒	Request for Reimbursement / Refunds
	☒	Scholarships
6.	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships

Name/Function Title		
7.	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships
8.	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships
9.	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships
10.	☐	All Documents
	☐	Master Grant Agreement / Amendments
	☐	FTA and TxDOT Certifications & Assurances
	☐	Project Grant Agreements / Amendments
	☐	Obligation Certification (Grant Application)
	☐	Request for Reimbursement / Refunds
	☐	Scholarships

FTA/TXDOT
CERTIFICATIONS
AND
ASSURANCE

Not every provision of every certification will apply to every applicant or award. If a provision of a certification does not apply to the applicant or its award, FTA will not enforce that provision.

Text in italic is not part of a certification and is of no legal effect. Its purpose is to provide explanation and context for the certification.

CATEGORY 1. CERTIFICATIONS AND ASSURANCES REQUIRED OF EVERY APPLICANT.

All applicants must make the certifications in this category.

1.1. Standard Assurances.

The certifications in this subcategory appear as part of the applicant's registration or annual registration renewal in the System for Award Management (SAM.gov) and on the Office of Management and Budget's standard form 424B "Assurances—Non-Construction Programs". This certification has been modified in places to include analogous certifications required by U.S. DOT statutes or regulations.

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- (b) Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- (c) Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- (d) Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- (e) Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728–4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).
- (f) Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to:

- (1) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin, as effectuated by U.S. DOT regulation 49 CFR Part 21, including any amendments thereto;
 - (2) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681–1683, and 1685–1686), which prohibits discrimination on the basis of sex, as effectuated by U.S. DOT regulation 49 CFR Part 25;
 - (3) Section 5332 of the Federal Transit Law (49 U.S.C. § 5332), which prohibits any person being excluded from participating in, denied a benefit of, or discriminated against under, a project, program, or activity receiving financial assistance from FTA because of race, color, religion, national origin, sex, disability, or age.
 - (4) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps, as effectuated by U.S. DOT regulation 49 CFR Part 27;
 - (5) The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101–6107), which prohibits discrimination on the basis of age;
 - (6) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse;
 - (7) The comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism;
 - (8) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records;
 - (9) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental, or financing of housing;
 - (10) Any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and,
 - (11) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- (g) Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (“Uniform Act”) (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. The requirements of the Uniform Act are effectuated by U.S. DOT regulation 49 CFR Part 24.
- (h) Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§ 1501–1508 and 7324–7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- (i) Will comply, as applicable, with the provisions of the Davis–Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327–333), regarding labor standards for federally assisted construction sub-agreements.
- (j) Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- (k) Will comply with environmental standards which may be prescribed pursuant to the following:
 - (1) Institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514;
 - (2) Notification of violating facilities pursuant to EO 11738;
 - (3) Protection of wetlands pursuant to EO 11990;
 - (4) Evaluation of flood hazards in floodplains in accordance with EO 11988;
 - (5) Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.);
 - (6) Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.);
 - (7) Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and
 - (8) Protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93–205).
- (l) Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- (m) Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
- (n) Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- (o) Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§ 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

- (p) Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- (q) Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and 2 CFR Part 200, Subpart F, "Audit Requirements", as adopted and implemented by U.S. DOT at 2 CFR Part 1201.
- (r) Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing the program under which it is applying for assistance.
- (s) Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. § 7104) which prohibits grant award recipients or a subrecipient from:
 - (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect;
 - (2) Procuring a commercial sex act during the period of time that the award is in effect; or
 - (3) Using forced labor in the performance of the award or subawards under the award.

1.2. Standard Assurances: Additional Assurances for Construction Projects.

This certification appears on the Office of Management and Budget's standard form 424D "Assurances—Construction Programs" and applies specifically to federally assisted projects for construction. This certification has been modified in places to include analogous certifications required by U.S. DOT statutes or regulations.

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency; will record the Federal awarding agency directives; and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
- (b) Will comply with the requirements of the assistance awarding agency with regard to the drafting, review, and approval of construction plans and specifications.
- (c) Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work confirms with the approved plans and specifications, and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.

1.3. Procurement.

The Uniform Administrative Requirements, 2 CFR § 200.325, allow a recipient to self-certify that its procurement system complies with Federal requirements, in lieu of submitting to certain pre-procurement reviews.

The applicant certifies that its procurement system complies with:

- (a) U.S. DOT regulations, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 200, particularly 2 CFR §§ 200.317–200.327 “Procurement Standards;
- (b) Federal laws, regulations, and requirements applicable to FTA procurements; and
- (c) The latest edition of FTA Circular 4220.1 and other applicable Federal guidance.

1.4. Increased Micro-Purchase Threshold.

A recipient may establish a micro-purchase threshold that is higher than the Federal micro-purchase threshold. Pursuant to 2 CFR § 200.320(a)(1)(iv), the recipient may self-certify a micro-purchase threshold up to \$50,000. Pursuant to 2 CFR § 200.320(a)(1)(v), the recipient may set a micro-purchase threshold higher than \$50,000, but only with the approval of the recipient’s Federal cognizant agency for indirect costs. To determine an applicant’s cognizant agency for indirect costs, consult the definition of “cognizant agency for indirect costs” in 2 CFR § 200.1.

If the recipient uses a micro-purchase threshold that is higher than the Federal micro-purchase threshold, the recipient certifies:

- (a) The recipient’s micro-purchase threshold does not exceed \$50,000, or the recipient has approval from its Federal cognizant agency for indirect costs to use a higher micro-purchase threshold;
- (b) The recipient has a written justification for its micro-purchase threshold; and
- (c) The recipient has supporting documentation of any of the following:
 - (1) The recipient qualifies as a low-risk auditee, in accordance with the criteria in 2 CFR § 200.520 for the most recent audit;
 - (2) The recipient has an annual internal institutional risk assessment to identify, mitigate, and manage financial risks; or
 - (3) For public institutions, a higher threshold is consistent with State law.

1.5. Suspension and Debarment.

Pursuant to Executive Order 12549, as implemented at 2 CFR Parts 180 and 1200, prior to entering into a covered transaction with an applicant, FTA must determine whether the applicant is excluded from participating in covered non-procurement transactions. For this purpose, FTA is authorized to collect a certification from each applicant regarding the applicant's exclusion status. 2 CFR § 180.300. Additionally, each applicant must disclose any information required by 2 CFR § 180.335 about the applicant and the applicant's principals prior to entering into an award agreement with FTA. This certification serves both purposes.

The applicant certifies, to the best of its knowledge and belief, that the applicant and each of its principals:

- (a) Is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily or involuntarily excluded from covered transactions by any Federal department or agency;
- (b) Has not, within the preceding three years, been convicted of or had a civil judgment rendered against him or her for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction; violation of Federal or State antitrust statutes, including those proscribing price fixing between competitors, allocation of customers between competitors, and bid rigging; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice; or commission of any other offense indicating a lack of business integrity or business honesty;
- (c) Is not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any offense described in paragraph (b) of this certification; and
- (d) Has not, within the preceding three years, had one or more public transactions (Federal, State, or local) terminated for cause or default.

1.6. Lobbying.

If the applicant will apply for a grant or cooperative agreement exceeding \$100,000, or a loan, line of credit, loan guarantee, or loan insurance exceeding \$150,000, it must make the following certification and, if applicable, make a disclosure regarding the applicant's lobbying activities. This certification is required by 49 CFR § 20.110 and app. A to that part.

This certification does not apply to an applicant that is an Indian Tribe, Indian organization, or an Indian tribal organization exempt from the requirements of 49 CFR Part 20.

1.6.1. Certification for Contracts, Grants, Loans, and Cooperative Agreements.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

1.6.2. Statement for Loan Guarantees and Loan Insurance.

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement

shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

1.7. Real Property Use

This certification responds to Recommendation #7 in the U.S. Department of Transportation's Office of Inspector General Report FS2024025 (May 20, 2024).

If the applicant will use assistance provided by the Federal Transit Administration to acquire or improve real property, the applicant certifies that it will comply with the requirements of 2 CFR § 200.311, including but not limited to, requirements to use the property for the purposes authorized in its award, and to seek disposition instructions from FTA when the property no longer is needed for any authorized purpose.

CATEGORY 2. PUBLIC TRANSPORTATION AGENCY SAFETY PLANS

This certification is required of each applicant under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), each rail operator that is subject to FTA's state safety oversight programs, and each State that is required to draft and certify a Public Transportation Agency Safety Plan on behalf of a Small Public Transportation Provider (as that term is defined at 49 CFR § 673.5) pursuant to 49 CFR § 673.11(d).

This certification is required by 49 U.S.C. § 5307(c)(1)(L), 49 U.S.C. § 5329(d)(1), and 49 CFR § 673.13. This certification is a condition of receipt of Urbanized Area Formula Grants Program (49 U.S.C. § 5307) funding.

This certification does not apply to any applicant that only receives financial assistance from FTA under the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310), the Formula Grants for Rural Areas Program (49 U.S.C. § 5311), or combination of these two programs, unless it operates a rail fixed guideway public transportation system.

If the applicant is an operator, the applicant certifies that it has established a Public Transportation Agency Safety Plan meeting the requirements of 49 U.S.C. § 5329(d)(1) and 49 CFR Part 673; including, specifically, that the board of directors (or equivalent entity) of the applicant has approved, or, in the case of an applicant that will apply for assistance under 49 U.S.C. § 5307 that is serving an urbanized area with a population of 200,000 or more, the safety committee of the entity established under 49 U.S.C. § 5329(d)(5), followed by the board of directors (or equivalent entity) of the applicant has approved, the Public Transportation Agency Safety Plan or any updates thereto; and, for each recipient serving an urbanized area with a population of fewer than 200,000, that the Public Transportation Agency Safety Plan has been developed in cooperation with frontline employee representatives.

If the applicant is a State that drafts and certifies a Public Transportation Agency Safety Plan on behalf of a public transportation operator, the applicant certifies that:

- (a) It has drafted and certified a Public Transportation Agency Safety Plan meeting the requirements of 49 U.S.C. § 5329(d)(1) and 49 CFR Part 673 for each Small Public Transportation Provider (as that term is defined at 49 CFR § 673.5) in the State, unless the Small Public Transportation Provider provided notification to the State that it was opting out of the State-drafted plan and drafting its own Public Transportation Agency Safety Plan; and
- (b) Each Small Public Transportation Provider within the State that opts to use a State-drafted Public Transportation Agency Safety Plan has a plan that has been approved by the provider's Accountable Executive (as that term is defined at 49 CFR § 673.5), Board of Directors or Equivalent Authority (as that term is defined at 49 CFR § 673.5), and, if the Small Public Transportation Provider serves an urbanized area with a population of 200,000 or more, the safety committee of the Small Public Transportation Provider established under 49 U.S.C. § 5329(d)(5).

CATEGORY 3. TAX LIABILITY AND FELONY CONVICTIONS.

If the applicant is a business association (regardless of for-profit, not for-profit, or tax-exempt status), it must make this certification. Federal appropriations acts since at least 2014 have prohibited FTA from using funds to enter into an agreement with any corporation that has unpaid Federal tax liabilities or recent felony convictions without first considering the corporation for debarment. E.g., Further Consolidated Appropriations Act, 2024, Pub. L. 118-47, div. B, tit. VII, §§ 744-745. U.S. DOT Order 4200.6 defines a "corporation" as "any private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association", and applies the restriction to all tiers of subawards. As prescribed by U.S. DOT Order 4200.6, FTA requires each business association applicant to certify as to its tax and felony status.

If the applicant is a private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, the applicant certifies that:

- (a) It has no unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
- (b) It has not been convicted of a felony criminal violation under any Federal law within the preceding 24 months.

CATEGORY 4. PRIVATE SECTOR PROTECTIONS.

If the applicant will apply for funds that it will use to acquire or operate public transportation facilities or equipment, the applicant must make the following certification regarding protections for the private sector.

4.1. Charter Service Agreement.

To enforce the provisions of 49 U.S.C. § 5323(d), FTA's charter service regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following Charter Service Agreement. 49 CFR § 604.4.

The applicant agrees that it, and each of its subrecipients, and thirdparty contractors at any level who use FTA-funded vehicles, may provide charter service using equipment or facilities acquired with Federal assistance authorized under the Federal Transit Laws only in compliance with the regulations set out in 49 CFR Part 604, the terms and conditions of which are incorporated herein by reference.

4.2. School Bus Agreement.

To enforce the provisions of 49 U.S.C. § 5323(f), FTA's school bus regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following agreement regarding the provision of school bus services. 49 CFR § 605.15.

- (a) If the applicant is not authorized by the FTA Administrator under 49 CFR § 605.11 to engage in school bus operations, the applicant agrees and certifies as follows:
 - (1) The applicant and any operator of project equipment agrees that it will not engage in school bus operations in competition with private school bus operators.
 - (2) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement, part 605 of the Federal Mass Transit Regulations, or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b)).
- (b) If the applicant is authorized or obtains authorization from the FTA Administrator to engage in school bus operations under 49 CFR § 605.11, the applicant agrees as follows:
 - (1) The applicant agrees that neither it nor any operator of project equipment will engage in school bus operations in competition with private school bus operators except as provided herein.
 - (2) The applicant, or any operator of project equipment, agrees to promptly notify the FTA Administrator of any changes in its operations which might jeopardize the continuation of an exemption under § 605.11.

- (3) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement, part 605 of the Federal Transit Administration regulations or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b)).
- (4) The applicant agrees that the project facilities and equipment shall be used for the provision of mass transportation services within its urban area and that any other use of project facilities and equipment will be incidental to and shall not interfere with the use of such facilities and equipment in mass transportation service to the public.

CATEGORY 5. TRANSIT ASSET MANAGEMENT PLAN.

If the applicant owns, operates, or manages capital assets used to provide public transportation, the following certification is required by 49 U.S.C. § 5326(a).

The applicant certifies that it is in compliance with 49 CFR Part 625.

CATEGORY 6. ROLLING STOCK BUY AMERICA REVIEWS AND BUS TESTING.

6.1. Rolling Stock Buy America Reviews.

If the applicant will apply for an award to acquire rolling stock for use in revenue service, it must make this certification. This certification is required by 49 CFR § 663.7.

The applicant certifies that it will conduct or cause to be conducted the pre-award and post-delivery audits prescribed by 49 CFR Part 663 and will maintain on file the certifications required by Subparts B, C, and D of 49 CFR Part 663.

6.2. Bus Testing.

If the applicant will apply for funds for the purchase or lease of any new bus model, or any bus model with a major change in configuration or components, the applicant must make this certification. This certification is required by 49 CFR § 665.7.

The applicant certifies that the bus was tested at the Bus Testing Facility established in accordance with 49 U.S.C. § 5318 (currently the Larson Transportation Institute's Bus Research and Testing Center at Pennsylvania State University) and that the bus received a passing test score as required by 49 CFR Part 665. The applicant has received or will receive the appropriate full Bus Testing Report and any applicable partial testing reports before final acceptance of the first vehicle.

CATEGORY 7. URBANIZED AREA FORMULA GRANTS PROGRAM.

If the applicant will apply for an award under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), or any other program or award that is subject to the requirements of 49 U.S.C. § 5307, including the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310); "flex funds" from infrastructure programs administered by the Federal Highways Administration (see 49 U.S.C. § 5334(i)); projects that will receive an award authorized by the Transportation Infrastructure Finance and Innovation Act ("TIFIA") (23 U.S.C. §§ 601–609) or State Infrastructure Bank Program (23 U.S.C. § 610) (see 49 U.S.C. § 5323(o)); formula awards or competitive awards to urbanized areas under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339(a) and (b)); or low or no emission awards to any area under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339(c)), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5307(c)(1).

The applicant certifies that it:

- (a) Has or will have the legal, financial, and technical capacity to carry out the program of projects (developed pursuant 49 U.S.C. § 5307(b)), including safety and security aspects of the program;
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities;
- (c) Will maintain equipment and facilities in accordance with the applicant's transit asset management plan;
- (d) Will ensure that, during non-peak hours for transportation using or involving a facility or equipment of a project financed under this section, a fare that is not more than 50 percent of the peak hour fare will be charged for any—
 - (1) Senior;
 - (2) Individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), cannot use a public transportation service or a public transportation facility effectively without special facilities, planning, or design; and
 - (3) Individual presenting a Medicare card issued to that individual under title II or XVIII of the Social Security Act (42 U.S.C. §§ 401 et seq., and 1395 et seq.);
- (e) In carrying out a procurement under 49 U.S.C. § 5307, will comply with 49 U.S.C. §§ 5323 (general provisions) and 5325 (contract requirements);
- (f) Has complied with 49 U.S.C. § 5307(b) (program of projects requirements);
- (g) Has available and will provide the required amounts as provided by 49 U.S.C. § 5307(d) (cost sharing);
- (h) Will comply with 49 U.S.C. §§ 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning);

- (i) Has a locally developed process to solicit and consider public comment before raising a fare or carrying out a major reduction of transportation;
- (j) Either—
 - (1) Will expend for each fiscal year for public transportation security projects, including increased lighting in or adjacent to a public transportation system (including bus stops, subway stations, parking lots, and garages), increased camera surveillance of an area in or adjacent to that system, providing an emergency telephone line to contact law enforcement or security personnel in an area in or adjacent to that system, and any other project intended to increase the security and safety of an existing or planned public transportation system, at least 1 percent of the amount the recipient receives for each fiscal year under 49 U.S.C. § 5336; or
 - (2) Has decided that the expenditure for security projects is not necessary;
- (k) In the case of an applicant for an urbanized area with a population of not fewer than 200,000 individuals, as determined by the Bureau of the Census, will submit an annual report listing projects carried out in the preceding fiscal year under 49 U.S.C. § 5307 for associated transit improvements as defined in 49 U.S.C. § 5302; and
- (l) Will comply with 49 U.S.C. § 5329(d) (public transportation agency safety plan).

CATEGORY 8. FORMULA GRANTS FOR RURAL AREAS.

If the applicant will apply for funds made available to it under the Formula Grants for Rural Areas Program (49 U.S.C. § 5311), it must make this certification. Paragraph (a) of this certification helps FTA make the determinations required by 49 U.S.C. § 5311(b)(2)(C). Paragraph (b) of this certification is required by 49 U.S.C. § 5311(f)(2). Paragraph (c) of this certification, which applies to funds apportioned for the Appalachian Development Public Transportation Assistance Program, is necessary to enforce the conditions of 49 U.S.C. § 5311(c)(2)(D).

- (a) The applicant certifies that its State program for public transportation service projects, including agreements with private providers for public transportation service—
 - (1) Provides a fair distribution of amounts in the State, including Indian reservations; and
 - (2) Provides the maximum feasible coordination of public transportation service assisted under 49 U.S.C. § 5311 with transportation service assisted by other Federal sources; and
- (b) If the applicant will in any fiscal year expend less than 15% of the total amount made available to it under 49 U.S.C. § 5311 to carry out a program to develop and support intercity bus transportation, the applicant certifies that it has consulted with affected

intercity bus service providers, and the intercity bus service needs of the State are being met adequately.

- (c) If the applicant will use for a highway project amounts that cannot be used for operating expenses authorized under 49 U.S.C. § 5311(c)(2) (Appalachian Development Public Transportation Assistance Program), the applicant certifies that—
 - (1) It has approved the use in writing only after providing appropriate notice and an opportunity for comment and appeal to affected public transportation providers; and
 - (2) It has determined that otherwise eligible local transit needs are being addressed.

CATEGORY 9. FIXED GUIDEWAY CAPITAL INVESTMENT GRANTS AND THE EXPEDITED PROJECT DELIVERY FOR CAPITAL INVESTMENT GRANTS PILOT PROGRAM.

If the applicant will apply for an award under any subsection of the Fixed Guideway Capital Investment Program (49 U.S.C. § 5309), including an award made pursuant to the FAST Act's Expedited Project Delivery for Capital Investment Grants Pilot Program (Pub. L. 114-94, div. A, title III, § 3005(b)), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5309(c)(2) and Pub. L. 114-94, div. A, title III, § 3005(b)(3)(B).

The applicant certifies that it:

- (a) Has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
- (c) Will maintain equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan; and
- (d) Will comply with 49 U.S.C. §§ 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning).

CATEGORY 10. GRANTS FOR BUSES AND BUS FACILITIES AND LOW OR NO EMISSION VEHICLE DEPLOYMENT GRANT PROGRAMS.

If the applicant is in an urbanized area and will apply for an award under subsection (a) (formula grants), subsection (b) (buses and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 7 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5339(a)(3), (b)(6), and (c)(3), respectively.

If the applicant is in a rural area and will apply for an award under subsection (a) (formula grants), subsection (b) (bus and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 8 for Formula Grants for Rural Areas (49 U.S.C. § 5311). This certification is required by 49 U.S.C. § 5339(a)(3), (b)(6), and (c)(3), respectively.

Making this certification will incorporate by reference the applicable certifications in Category 7 or Category 8.

If the applicant will receive a competitive award under subsection (b) (buses and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339) related to zero emissions vehicles or related infrastructure, it must make the following certification. This certification is required by 49 U.S.C. § 5339(d).

The applicant will use 5 percent of grants related to zero emissions vehicles (as defined in 49 U.S.C. § 5339(c)(1)) or related infrastructure under 49 U.S.C. § 5339(b) or (c) to fund workforce development training as described in section 49 U.S.C. § 5314(b)(2) (including registered apprenticeships and other labor-management training programs) under the recipient's plan to address the impact of the transition to zero emission vehicles on the applicant's current workforce; or the applicant certifies a smaller percentage is necessary to carry out that plan.

CATEGORY 11. ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAMS.

If the applicant will apply for an award under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program (49 U.S.C. § 5310), it must make the certification in Category 7 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5310(e)(1). Making this certification will incorporate by reference the certification in Category 7, except that FTA has determined that (d), (f), (i), (j), and (k) of Category 7 do not apply to awards made under 49 U.S.C. § 5310 and will not be enforced.

In addition to the certification in Category 7, the applicant must make the following certification that is specific to the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program. This certification is required by 49 U.S.C. § 5310(e)(2).

The applicant certifies that:

- (a) The projects selected by the applicant are included in a locally developed, coordinated public transit-human services transportation plan;

- (b) The plan described in clause (a) was developed and approved through a process that included participation by seniors, individuals with disabilities, representatives of public, private, and nonprofit transportation and human services providers, and other members of the public;
- (c) To the maximum extent feasible, the services funded under 49 U.S.C. § 5310 will be coordinated with transportation services assisted by other Federal departments and agencies, including any transportation activities carried out by a recipient of a grant from the Department of Health and Human Services; and
- (d) If the applicant will allocate funds received under 49 U.S.C. § 5310 to subrecipients, it will do so on a fair and equitable basis.

CATEGORY 12. STATE OF GOOD REPAIR GRANTS.

If the applicant will apply for an award under FTA's State of Good Repair Grants Program (49 U.S.C. § 5337), it must make the following certification. Because FTA generally does not review the transit asset management plans of public transportation providers, the asset management certification is necessary to enforce the provisions of 49 U.S.C. § 5337(a)(4). The certification with regard to acquiring restricted rail rolling stock is required by 49 U.S.C. § 5323(u)(4). Note that this certification is not limited to the use of Federal funds.

The applicant certifies that the projects it will carry out using assistance authorized by the State of Good Repair Grants Program, 49 U.S.C. § 5337, are aligned with the applicant's most recent transit asset management plan and are identified in the investment and prioritization section of such plan, consistent with the requirements of 49 CFR Part 625.

If the applicant operates a rail fixed guideway service, the applicant certifies that, in the fiscal year for which an award is available to the applicant under the State of Good Repair Grants Program, 49 U.S.C. § 5337, the applicant will not award any contract or subcontract for the procurement of rail rolling stock for use in public transportation with a rail rolling stock manufacturer described in 49 U.S.C. § 5323(u)(1).

CATEGORY 13. INFRASTRUCTURE FINANCE PROGRAMS.

If the applicant will apply for an award for a project that will include assistance under the Transportation Infrastructure Finance and Innovation Act ("TIFIA") Program (23 U.S.C. §§ 601–609) or the State Infrastructure Banks ("SIB") Program (23 U.S.C. § 610), it must make the certifications in Category 7 for the Urbanized Area Formula Grants Program, Category 9 for the Fixed Guideway Capital Investment Grants program, and Category 12 for the State of Good Repair Grants program. These certifications are required by 49 U.S.C. § 5323(o).

Making this certification will incorporate the certifications in Categories 7, 9, and 12 by reference.

CATEGORY 14. ALCOHOL AND CONTROLLED SUBSTANCES TESTING.

If the applicant will apply for an award under FTA's Urbanized Area Formula Grants Program (49 U.S.C. § 5307), Fixed Guideway Capital Investment Program (49 U.S.C. § 5309), Formula Grants for Rural Areas Program (49 U.S.C. § 5311), or Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339) programs, the applicant must make the following certification. The applicant must make this certification on its own behalf and on behalf of its subrecipients and contractors. This certification is required by 49 CFR § 655.83.

The applicant certifies that it, its subrecipients, and its contractors are compliant with FTA's regulation for the Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations, 49 CFR Part 655.

CATEGORY 15. RAIL SAFETY TRAINING AND OVERSIGHT.

If the applicant is a State with at least one rail fixed guideway system, or is a State Safety Oversight Agency, or operates a rail fixed guideway system, it must make the following certification. The elements of this certification are required by 49 CFR §§ 672.31 and 674.39.

The applicant certifies that the rail fixed guideway public transportation system and the State Safety Oversight Agency for the State are:

- (a) Compliant with the requirements of 49 CFR Part 672, "Public Transportation Safety Certification Training Program"; and
- (b) Compliant with the requirements of 49 CFR Part 674, "State Safety Oversight".

CATEGORY 16. DEMAND RESPONSIVE SERVICE.

If the applicant operates demand responsive service and will apply for an award to purchase a non-rail vehicle that is not accessible within the meaning of 49 CFR Part 37, it must make the following certification. This certification is required by 49 CFR § 37.77.

The applicant certifies that the service it provides to individuals with disabilities is equivalent to that provided to other persons. A demand responsive system, when viewed in its entirety, is deemed to provide equivalent service if the service available to individuals with disabilities, including individuals who use wheelchairs, is provided in the most integrated setting appropriate to the needs of the individual and is equivalent to the service provided other individuals with respect to the following service characteristics:

- (a) Response time;
- (b) Fares;
- (c) Geographic area of service;
- (d) Hours and days of service;

- (e) Restrictions or priorities based on trip purpose;
- (f) Availability of information and reservation capability; and
- (g) Any constraints on capacity or service availability.

CATEGORY 17. INTEREST AND FINANCING COSTS.

If the applicant will pay for interest or other financing costs of a project using assistance awarded under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), the Fixed Guideway Capital Investment Grants Program (49 U.S.C. § 5309), or any program that must comply with the requirements of 49 U.S.C. § 5307, including the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310), "flex funds" from infrastructure programs administered by the Federal Highways Administration (see 49 U.S.C. § 5334(i)), or awards to urbanized areas under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the following certification. This certification is required by 49 U.S.C. §§ 5307(e)(3) and 5309(k)(2)(D).

The applicant certifies that:

- (a) Its application includes the cost of interest earned and payable on bonds issued by the applicant only to the extent proceeds of the bonds were or will be expended in carrying out the project identified in its application; and
- (b) The applicant has shown or will show reasonable diligence in seeking the most favorable financing terms available to the project at the time of borrowing.

CATEGORY 18. CYBERSECURITY CERTIFICATION FOR RAIL ROLLING STOCK AND OPERATIONS.

If the applicant operates a rail fixed guideway public transportation system, it must make this certification. This certification is required by 49 U.S.C. § 5323(v). For information about standards or practices that may apply to a rail fixed guideway public transportation system, visit <https://www.nist.gov/cyberframework> and <https://www.cisa.gov/>.

The applicant certifies that it has established a process to develop, maintain, and execute a written plan for identifying and reducing cybersecurity risks that complies with the requirements of 49 U.S.C. § 5323(v)(2).

CATEGORY 19. PUBLIC TRANSPORTATION ON INDIAN RESERVATIONS FORMULA AND DISCRETIONARY PROGRAM (TRIBAL TRANSIT PROGRAMS).

Before FTA may provide Federal assistance for an Award financed under either the Public Transportation on Indian Reservations Formula or Discretionary Program authorized under 49 U.S.C. § 5311(c)(1), as amended by the FAST Act, (Tribal Transit Programs), the applicant

must select the Certifications in this Category, except as FTA determines otherwise in writing. Tribal Transit Program applicants may certify to this Category and Category 1 (Certifications and Assurances Required of Every Applicant) and need not make any other certification, to meet Tribal Transit Program certification requirements. If an applicant will apply for any program in addition to the Tribal Transit Program, additional certifications may be required.

FTA has established terms and conditions for Tribal Transit Program grants financed with Federal assistance appropriated or made available under 49 U.S.C. § 5311(c)(1). The applicant certifies that:

- (a) It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
- (b) It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
- (c) It will maintain its equipment and facilities acquired or improved under its Award, in accordance with its transit asset management plan and consistent with FTA regulations, "Transit Asset Management," 49 CFR Part 625. Its Award will achieve maximum feasible coordination with transportation service financed by other federal sources.
- (d) With respect to its procurement system:
 - (1) It will have a procurement system that complies with U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 200, for Awards made on or after December 26, 2014,
 - (2) It will have a procurement system that complies with U.S. DOT regulations, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," 49 CFR Part 18, specifically former 49 CFR § 18.36, for Awards made before December 26, 2014, or
 - (3) It will inform FTA promptly if its procurement system does not comply with either of those U.S. DOT regulations.
- (e) It will comply with the Certifications, Assurances, and Agreements in:
 - (1) Category 4.1 and 4.2 (Charter Service Agreement and School Bus Agreement),
 - (2) Category 5 (Transit Asset Management Plan),
 - (3) Category 6.1 and 6.2 (Rolling Stock Buy America Reviews and Bus Testing),
 - (4) Category 8 (Formula Grants for Rural Areas),
 - (5) Category 14 (Alcohol and Controlled Substances Testing), and
 - (6) Category 16 (Demand Responsive Service).

CATEGORY 20. EMERGENCY RELIEF PROGRAM.

An applicant to the Public Transportation Emergency Relief Program, 49 U.S.C. § 5324, must make the following certification. The certification is required by 49 U.S.C. § 5324(f) and must be made before the applicant can receive a grant under the Emergency Relief program.

The applicant certifies that the applicant has insurance required under State law for all structures related to the emergency relief program grant application.

**FEDERAL FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES FOR FTA
ASSISTANCE PROGRAMS**

(Signature pages alternate to providing Certifications and Assurances in TrAMS.)

Name of Applicant: Texoma Area Paratransit System, Inc.

The Applicant certifies to the applicable provisions of all categories: (*check here*) ☒.

Or,

The Applicant certifies to the applicable provisions of the categories it has selected:

Category	Certification
01 Certifications and Assurances Required of Every Applicant	_____
02 Public Transportation Agency Safety Plans	_____
03 Tax Liability and Felony Convictions	_____
04 Private Sector Protections	_____
05 Transit Asset Management Plan	_____
06 Rolling Stock Buy America Reviews and Bus Testing	_____
07 Urbanized Area Formula Grants Program	_____
08 Formula Grants for Rural Areas	_____
09 Fixed Guideway Capital Investment Grants and the Expedited Project Delivery for Capital Investment Grants Pilot Program	_____
10 Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs	_____
11 Enhanced Mobility of Seniors and Individuals with Disabilities Programs	_____

Certifications and Assurances

Fiscal Year 2025

12 State of Good Repair Grants

13 Infrastructure Finance Programs

14 Alcohol and Controlled Substances Testing

15 Rail Safety Training and Oversight

16 Demand Responsive Service

17 Interest and Financing Costs

18 Cybersecurity Certification for Rail Rolling Stock and
Operations

19 Tribal Transit Programs

20 Emergency Relief Program

CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE**AFFIRMATION OF APPLICANT**Name of the Applicant: Texoma Area Paratransit System, Inc.

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in the federal fiscal year, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

The Certifications and Assurances the Applicant selects apply to each Award for which it now seeks, or may seek in the future, of federal assistance to be awarded by FTA during the federal fiscal year.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature



Date:

7/1/2025

Name

Pamela L Howeth, TAPS Board Chair

Authorized Representative of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): TAPS doesn't currently have an attorney

As the undersigned Attorney for the above-named Applicant, I hereby affirm the Applicant has the authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature _____ Date: _____

Name _____ Attorney for Applicant

Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.

TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

U.S. DEPARTMENT OF LABOR SPECIAL SECTION 5333(b) WARRANTY CERTIFICATION

The following language shall be made part of the contract of assistance with the State or other public body charged with allocation and administration of funds provided under 49 U.S.C. 5333(b):

A. General Application

The Public Body agrees that, in the absence of waiver by the Department of Labor, the terms and conditions of this warranty, as set forth below, shall apply for the protection of the transportation related employees of any employer providing transportation services assisted by the Project ("Recipient"), and the transportation related employees of any other surface public transportation providers in the transportation service area of the Project.

The Public Body shall provide to the Department of Labor and maintain at all times during the Project an accurate, up-to-date listing of all existing transportation providers which are eligible Recipients of transportation assistance funded by the Project, in the transportation service area of the Project, and any labor organizations representing the employees of such providers.

Certification by the Public Body to the Department of Labor that the designated Recipients have indicated in writing acceptance of the terms and conditions of the warranty arrangement will be sufficient to permit the flow of Section 5311 funding in the absence of a finding of non-compliance by the Department of Labor.

B. Standard Terms and Conditions

- (1) The Project shall be carried out in such a manner and upon such terms and conditions as will not adversely affect employees of the Recipient and of any other surface public transportation provider in the transportation service area of the Project. It shall be an obligation of the Recipient and any other legally responsible party designated by the Public Body to assure that any and all transportation services assisted by the Project are contracted for and operated in such a manner that they do not impair the rights and interests of affected employees. The term "Project," as used herein, shall not be limited to the particular facility, service or operation assisted by Federal funds, but shall include any changes, whether organizational, or otherwise, which are a result of the assistance provided. The phrase "as a result of the Project," shall when used in this arrangement, include events related to the Project occurring in anticipation of, during, and subsequent to the Project and any program of efficiencies or economies related thereto; provided, however, that volume rises and falls of business, or changes in volume and character of employment brought about by causes other than the Project (including any economies or efficiencies unrelated to the Project) are not within the purview of this arrangement.

An employee covered by this arrangement, who is not dismissed, displaced or otherwise worsened in his position with regard to his employment as a result of the Project, but who is dismissed, displaced or otherwise worsened solely because of the total or partial termination of the Project, discontinuance of Project services, or exhaustion of Project funding shall not be deemed eligible for a dismissal or displacement allowance within the meaning of paragraphs (6) and (7) of the Model agreement or applicable provisions of substitute comparable arrangements.

- (2)(a) Where employees of a Recipient are represented for collective bargaining purposes, all Project services provided by that Recipient shall be provided under and in accordance with any collective bargaining agreement applicable to such employees which is then in effect.
- (2)(b) The Recipient or legally responsible party shall provide to all affected employees sixty (60) days' notice of intended actions which may result in displacements or dismissals or rearrangements of the working

TXDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

forces. In the case of employees represented by a union, such notice shall be provided by certified mail through their representatives. The notice shall contain a full and adequate statement of the proposed changes, and an estimate of the number of employees affected by the intended changes, and the number and classifications of any jobs in the Recipient's employment available to be filled by such affected employees.

- (2)(c) The procedures of this subparagraph shall apply to cases where notices involve employees represented by a union for collective bargaining purposes. At the request of either the Recipient or the representatives of such employees negotiations for the purposes of reaching agreement with respect to the applications of the terms and conditions of this arrangement shall commence immediately. If no agreement is reached within twenty (20) days from the commencement of negotiations, any party to the dispute may submit the matter to dispute settlement procedures in accordance with paragraph (4) of this warranty. The foregoing procedures shall be complied with and carried out prior to the institution of the intended action.
- (3) For the purpose of providing the statutory required protections including those specifically mandated by 49 U.S.C. Section 5333(b)¹, the public Body will assure as a condition of the release of funds that the Recipient agrees to be bound by the terms and conditions of the National (Model) Section 5333(b) Agreement executed July 23, 1975, identified below², provided that other comparable arrangements may be substituted therefore, if approved by the Secretary of Labor and certified for inclusion in these conditions.
- (4) Any dispute or controversy arising regarding the application, interpretation, or enforcement of any of the provisions of this arrangement which cannot be settled by and between the parties at interest within thirty (30) days after the dispute or controversy first arises, may be referred by any such party to any final and binding disputes settlement procedure acceptable to the parties, or in the event they cannot agree upon such procedure, to the Department of Labor or an impartial third party designated by the Department of Labor for final and binding determination. The compensation and expenses of the impartial third party, and any other jointly incurred expenses, shall be borne equally by the parties to the proceeding and all other expenses shall be paid by the party incurring them.

In the event of any dispute as to whether or not a particular employee was affected by the Project, it shall be his obligation to identify the Project and specify the pertinent facts of the Project relied upon. It shall then be the burden of either the Recipient or other party legally responsible for the application of these conditions to prove that factors other than the Project affected the employees. The claiming employee shall prevail if it is established that the Project had an effect upon the employee even if other factors may also have affected the employee.

- (5) The Recipient or other legally responsible party designated by the Public Body will be financially responsible for the application of these conditions and will make the necessary arrangements so that any employee covered by these arrangements, or the union representative of such employee, may file claim of violation of these arrangements with the Recipient within sixty (60) days of the date he is terminated or laid off as a result of the Project, or within eighteen (18) months of the date his position with respect to his employment is otherwise worsened as a result of the Project. In the latter case, if the events giving rise to the claim have occurred over an extended period, the 18-month limitation shall be measured from the last such event. No benefits shall be payable for any period prior to six (6) months from the date of the filing of any claim.
- (6) Nothing in this arrangement shall be construed as depriving any employee of any rights or benefits which such employee may have under existing employment or collective bargaining agreements, nor shall this arrangement be deemed a waiver of any rights or any union or of any represented employee derived from any other agreement or provision of federal, state or local law.

TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

- (7) In the event any employee covered by these arrangements is terminated or laid off as a result of the Project, he shall be granted priority of employment or reemployment to fill any vacant position within the control of the Recipient for which he is, or by training or retraining within a reasonable period, can become qualified. In the event training or retraining is required by such employment or reemployment, the Recipient or other legally responsible party designated by the Public Body shall provide or provide for such training or retraining at no cost to the employee.
- (8) The Recipient will post, in a prominent and accessible place, a notice stating that the Recipient has received federal assistance under 49 U.S.C. Chapter 53 and has agreed to comply with the provisions of 49 U.S.C. Section 5333(b). This notice shall also specify the terms and conditions set forth herein for the protection of employees. The Recipient shall maintain and keep on file all relevant books and records in sufficient detail as to provide the basic information necessary to the proper application, administration, and enforcement of these arrangements and to the proper determination of any claims arising thereunder.
- (9) Any labor organization which is the collective bargaining representative of employees covered by these arrangements, may become a party to these arrangements by serving written notice of its desire to do so upon the Recipient and the Department of Labor. In the event of any disagreement that such labor organization represents covered employees, or is otherwise eligible to become a party to these arrangements, as applied to the Project, the dispute as to whether such organization shall participate shall be determined by the Secretary of Labor.
- (10) In the event the Project is approved for assistance under 49 U.S.C. Chapter 53, the foregoing terms and conditions shall be made part of the contract of assistance between the federal government and the Public Body or Recipient of federal funds; provided, however, that this arrangement shall not merge into the contract of assistance, but shall be independently binding and enforceable by an upon the parties thereto, and by any covered employee or his representative, in accordance with its terms, nor shall any other employee protective agreement merge into this arrangement, but each shall be independently binding and enforceable by and upon the parties thereto, in accordance with its terms.

C. Waiver

As part of the grant approval process, either the Recipient or other legally responsible party designated by the Public Body may in writing seek from the Secretary of Labor a waiver of the statutory required protections. The Secretary will waive these protections in cases, where at the time of the requested waiver, the Secretary determines that there are no employees of the Recipient or of any other surface public transportation providers in the transportation service area who could be potentially affected by the Project. A 30-day notice of proposed waiver will be given by the Department of Labor and in the absence of timely objection, the waiver will become final at the end of the 30-day notice period. In the event of timely objection, the Department of Labor will review the matter and determine whether a waiver shall be granted. In the absence of waiver, these protections shall apply to the Project.

¹ Such protective arrangements shall include, without being limited to, such provisions as may be necessary for (1) the preservation of rights, privileges, and benefits (including continuation of pension rights and benefits) under existing collective bargaining agreements or otherwise; (2) the continuation of collective bargaining rights; (3) the protection of individual employees against a worsening of their positions with respect to their employment; (4) assurances of employment to employees of acquired mass transportation systems and priority of reemployment of employees terminated or laid off; and (5) paid training and retraining programs. Such arrangements shall include provisions protecting individual employees against a worsening of their positions with respect to their employments which shall in no event provide benefits less than those established pursuant to 49 U.S.C. Section 11347 [the codified citation of Section 5(2)(f) of the Act of February 4, 1887 (24 Stat. 379), as amended].

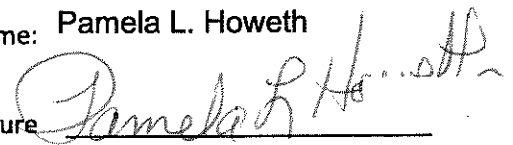
² For purposes of this warranty agreement, paragraphs (1); (2); (5); (15); (22); (23); (24); (26); (27); (28); and (29) of the Model Section 5333(b) Agreement, executed July 23, 1975 are to be omitted.

TXDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

49 U.S.C 5333(b) (also known as Section 13C of the Federal Transit)

STATE SUBRECIPIENT SERVICE AREA INFORMATION	
Subrecipient Name: Texoma Area Paratransit System, Inc.	
Contact Name: Shellie White	Date: 7/1/25
Area Code & Phone Number: 580-775-8736	Email Address: shellie.white@transdev.com
Provide a description of subrecipient's service area: ☒ N/A - Planning Agency	
Are the Recipient's Transit Employees Private or Public? ☒ Private or ☐ Public ☐ No Transit Employees	
Identify the Labor Organization(s) which represent the Recipient's or its Contractor's Employees N/A, no unions ☒	
List OTHER Public Transit Providers in the service area of the subrecipient (commuter, paratransit, fixed route...etc.) and their associated labor organization(s) or N/A if no other service providers in the subrecipient's area ☒	

Printed Name: **Pamela L. Howeth**

Signature: 

TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

LOBBYING CERTIFICATION

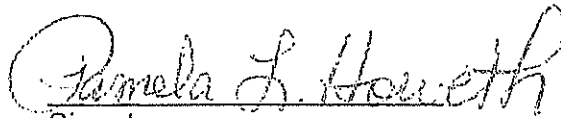
For

Grants, Contracts, Loans, and Interagency Cooperation Contracts

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclosure accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Signature

TAPS Board Chair

Title

Texoma Area Paratransit System, Inc.

Agency

Date

7/1/25

TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

OMB Control Number: 4040-0013

Expiration Date: 8/31/2025

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

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TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

DEBARMENT AND SUSPENSION (NONPROCUREMENT)

(TxDOT requires this form to be completed by all Applicants)

Applicability to Contracts

2 CFR Part 180 and Part 1200, prohibits FTA recipients and sub-recipients from contracting for goods and services from organizations that have been suspended or debarred from receiving Federally-assisted contracts. As part of their applications each year, recipients are required to submit a certification to the effect that they will not enter into contracts \$25,000 and over with suspended or debarred contractors and that they will require their contractors (and their subcontractors) to make the same certification to them.

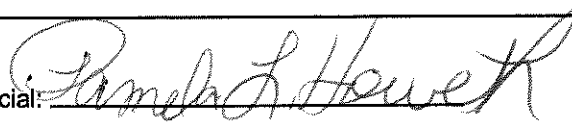
- (1) The Subrecipient certifies to the best of its knowledge and belief, that it and its principals, including its first tier subrecipients:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded or disqualified from covered transactions by any Federal department or agency;
 - (b) Have not within a three-year period preceding its latest application or proposal been convicted of or had a civil judgment rendered against any of them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction, or contract under a public transaction; violation of any Federal or State antitrust statute; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making any false statement, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local);
 - (d) Have not within a three-year period preceding this certification had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) The Subrecipient certifies that it and its principals, including its first tier subrecipients will assure that each lower tier participant involved in the Project is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded or disqualified from participation in this transaction by any Federal department or agency;
- (3) The Subrecipient certifies that if, later, it or its principals, including any of its first tier subrecipients, become aware of any information contradicting the statements of subparagraphs (1)(2), it will promptly provide any necessary information to FTA;
- (4) Where the Subrecipient is unable to certify to any of the statements in this certification, such Subrecipient shall attach an explanation to this certification.



N/A, no Exceptions

EXCEPTIONS:

Signature of Certifying Official:



Title: TAPS Board Chair

Date:

7/1/25

BACKGROUND

Title 43 of the Texas Administrative Code requires specific state and federal funds recipients to adopt and enforce an internal ethics and compliance program that satisfies the requirements of 43 Texas Administrative Code §10.51. The department may, at its discretion, request that the entity provide the department with written evidence of the entity's internal ethics and compliance program

MINIMUM REQUIREMENTS SET FORTH IN TITLE 43 TEXAS ADMINISTRATIVE CODE §10.51:

1. High level personnel are responsible for oversight of compliance with the standards and procedures.
 - ♦ *High level personnel shall be knowledgeable about the content and operation of the entity's internal ethics and compliance program and shall promote an organizational culture that encourages ethical conduct and a commitment to compliance with all applicable laws and regulations.*
2. Appropriate care is being taken to avoid the delegation of substantial discretionary authority to individuals whom the entity knows, or should know, have a propensity to engage in illegal activities
 - ♦ *The entity shall have a consistent process to vet the background of current and future employees in high level positions and those involved in the handling of financial and/or highly confidential information.*
3. Ensure that compliance standards and procedures are effectively communicated to all of the entity's employees, including members of the governing board if the entity has a governing board, by requiring them to participate in periodic training in ethics and in the requirements of the program.
 - ♦ *The entity shall ensure that employees and the governing board (if applicable) are made aware of all internal ethics and compliance policies, procedures, and practices by requiring them to participate in periodic ethics and compliance training. A record of those participating in training shall be kept.*
4. Ensure that compliance standards and procedures are effectively communicated to all of the entity's agents
 - ♦ *The entity shall notify and require its partners doing business on its behalf to comply with the entity's internal ethics and compliance policies, procedures, and practices through written or verbal communication.*
5. Ensure that reasonable steps are being taken to achieve compliance with the compliance standards and procedures by using monitoring and auditing systems that are designed to reasonably detect non-compliance and providing and publicizing a system for the entity's employees and agents to report suspected non-compliance without fear of retaliation
 - ♦ *The entity shall have in place a reporting system, which may include mechanisms for anonymity or confidentiality, that allows employees, the governing board and entity agents to report suspected incidents of non-compliance without fear of retaliation.*
 - ♦ *The entity shall have an established process for assessing compliance with its code of conduct as well as policies and procedures adopted to promote adherence with laws and regulations.*
6. Ensure consistent enforcement of compliance standards and procedures is administered through appropriate disciplinary mechanisms
 - ♦ *The entity shall respond to incidents of non-compliance by following an established internal disciplinary process.*

7. Ensure reasonable steps are being taken to respond appropriately to detected offenses and to prevent future similar offenses
- ♦ *The entity shall have established protocols and processes for monitoring and responding to risk that could potentially result in violations.*
 - ♦ *The entity shall act appropriately to prevent similar conduct by implementing a plan remedying past non-compliance, preventing future non-compliance and making modifications as necessary to the entity's policies to ensure effective compliance.*
8. Have in place a written employee code of conduct that, at a minimum, addresses record retention, fraud, equal opportunity employment, sexual harassment, conflicts of interest, personal use of the entity's property, and gifts honoraria
- ♦ *The entity shall adopt a code of conduct that, at a minimum, addresses each element of this requirement.*

CERTIFICATION

The undersigned organization would like to be eligible to receive state or federal funds from or through the Texas Department of Transportation.

To comply with the requirements set forth in the Texas Administrative Code, the undersigned entity certifies that:

1. the entity has a written internal ethics and compliance program that provides compliance standards and procedures that are designed to detect and prevent violations of the law, and ethical standards;
2. the entity enforces employee compliance with its internal ethics and compliance program; and
3. the entity's internal ethics and compliance program specifically includes, at a minimum, the items contained in 43 Tex. Admin. Code §10.51.

Any other requirements by any state, federal, or local law, rule, regulation, ordinance or otherwise is not included in these requirements and it is the sole responsibility of the undersigned to comply with such laws. This is not intended to provide legal advice or representation to the undersigned.

The department may, at its discretion, request that the entity provide the department with written evidence of the entity's internal ethics and compliance program (43 Tex. Admin. Code §10.51(c)).

Texoma Area Paratransit System, Inc.

Organization Name

Signed by: Pamela L. Howeth

Printed Name

Board Chair

Title

Date

Signature

TxDOT FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES

LOBBYING CERTIFICATION

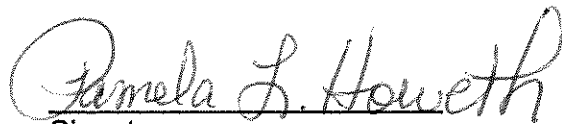
For

Grants, Contracts, Loans, and Interagency Cooperation Contracts

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclosure accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Signature

TAPS Board Chair

Title

Texoma Area Paratransit System, Inc.

Agency

Date

CAPITAL PROJECTS

Capital Revenues		Actual	YTD	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
FTA 5339 Capital Assistance (Shop)	12,709	5,782										5,782			
FTA 5307 Urban Area Formula (BLD)	874,898	494,582	313,540									181,042			
FTA 5307 Urban Area Formula (RS)	555,082	555,082				555,082									
FTA 5307 Urban Area Formula (FR)	175,000	-													
FTA 5307 Urban Area Formula (SEC)	350,000	-													
TXDOT 5339 Capital Assistance (BLD)	3,000,000	1,960,422				672,287		393,880			22,901	506,154	365,200		
TXDOT Rural Discretionary (RS)	91,203	91,203								91,203					
TXDOT Rural Discretionary (Fac Repairs)	43,076	29,162					29,162								
TXDOT Planning (TRCTPC) 5-Year HSTP	170,000	-													
TXDOT 5339 Joint Rolling Stock Procurement	304,208	-													
Total Revenues	5,576,176	3,136,233	313,540	-	-	672,287	584,244	393,880	-	91,203	22,901	592,978	365,200	-	-

TAPS Operations Facility	3,874,898	2,455,004	313,540	672,287	393,880	22,901	687,196	365,200
Rolling Stock	950,493	646,285						
Maintenance Facility Repairs	43,076	29,162		555,082		91,203		
Shop Equipment	12,709	5,782					5,782	
Maintenance Facility Security	350,000	-						
(TRCTPC) 5-Year HSTP	170,000							
Fixed Route Study (Continuation)	175,000	-						
Total Expenses	5,576,176	3,136,233	313,540	672,287	393,880	91,203	692,978	365,200

Net Difference

FINANCIAL UPDATE

FY 2025 OPERATING FINANCIAL REPORT

	FY 2025	Actual	YTD												
				Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Operating Revenues	Budget														
FTA 5307 Urban	200,606	140,856	36,392	9,736	29,228	9,736	34,997	30,503	93,379	93,312	89,415	76,759	67,885		
FTA 5307 CARES ACT	568,000	472,858	7,849	7,135	7,135	8,325	7,389	21,410	87,889	87,777	88,030	87,084	92,302		
FTA 5311 Rural	1,073,898	813,687	98,780	85,524	85,524	18,059	82,675	85,567							
FTA 5310 Elderly & Disabled	61,993	99,189	-	87,013			12,176								
FTA Regional Planning	35,000	4,583	856					1,432			963	1,332			
TX DOT Urban	200,606	165,654	36,392	34,533	29,228	34,533	34,998	30,503	67,362	67,296	67,195	45,040			
TX DOT Rural	601,582	611,783	75,855	74,189	78,080	74,189	72,410	64,356	4,629	4,172	5,207	2,645	3,741		
Operating Revenue	45,000	40,403	4,973	3,946	3,946	3,099	4,571	3,420	9,400	4,500					
Vehicle Sales Proceeds	-	72,410					50,550	7,960							
In-kind Contributions	16,200	13,500	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350		
Public Contributions	317,395	175,930	26,062	21,000	21,000	2,500	53,305	17,838	326	2,325	47,953	178	4,444		
Interest Income	360	390	43	42	42	28	50	35	37	38	33	39	45		
Miscellaneous	-	1,040							1,040						
Total Revenues	3,120,640	2,612,283	288,552	255,533	255,533	238,832	354,471	264,374	265,412	260,770	300,146	214,427	169,767	-	-

Operating Expenses

Transdev Fixed Cost															
Transdev Variable Cost															
hours of service															
Utilities	1,521,002	1,267,502	126,750	126,750	126,750	126,750	126,750	126,750	126,750	126,750	126,750	126,750	126,750		
Fuel	1,317,200	1,059,531	122,333	95,192	95,192	98,041	110,984	97,929	118,598	116,024	110,861	93,605	95,964		
Board Insurance	24,343	19,581	2,261	1,759	1,759	1,842	2,051	1,810	2,492	2,144	2,049	1,730	1,773		
Rent - In-kind Expense	35,000	30,122	1,428	1,378	1,378	2,940	3,943	4,838	3,551	1,910	2,983	3,446	3,704		
Accounting Software	200,000	129,473	14,622	15,638	15,638	12,818	12,318	11,702	10,124	13,474	14,610	13,116	11,052		
Miscellaneous	24,738	20,610	2,061	2,061	2,061	2,061	2,061	2,061	2,061	2,061	2,061	2,061	2,061		
	16,200	13,500	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350		
	6,500	5,373	536	536	536	536	571	536	536	386	579	579	579		
	-	2,953				227		810	1,521	110		285	-		
Total Expenses	3,120,640	2,529,064	269,080	242,905	242,905	244,723	257,977	245,976	264,491	262,066	259,194	241,192	241,459	-	-

Net Income(Loss)	-	83,220	19,472	12,628	12,628	(5,891)	96,493	18,398	921	(1,296)	40,952	(26,765)	(71,692)	-	-
		126.99	117.27	135.83	135.83	132.76	123.83	133.28	118.18	120.40	124.56	136.95	133.90	-	-

AP Aging as of 7/31/25

Transdev Outstanding	254,231.02
Plyler Construction	365,199.95
Other Outstanding debt	645,847.54
	<u>1,265,278.51</u>

FY26 TAPS BUDGET

FY 2025 Operating Budget

<u>Operating Revenues</u>		<u>FY 2026</u>	<u>FY 2025</u>	
	<u>Budget</u>		<u>Budget</u>	
FTA 5307 Urban	196,466		200,606	
FTA 5307 CARES ACT	775,000		568,000	
FTA 5311 Rural	981,783		1,073,898	
FTA 5310 Elderly & Disabled	61,993		61,993	
TX DOT Urban	196,466		200,606	
TX DOT Rural	601,413		601,582	
Operating Revenue	45,000		45,000	
In-kind Contributions	16,200		16,200	
Public Contributions	363,341		352,755	
Total Revenues	3,237,662		3,120,640	Increase (0.04)
<u>Operating Expenses</u>				
Transdev Fixed Cost	1,566,632		1,521,002	
Transdev Variable Cost	1,397,417		1,317,200	
<i>service hours rate</i>	55.73		54.11	
<i>hours of service</i>	25,073		24,343	
Utilities	30,000		35,000	
Fuel	195,000		200,000	
Board Insurance	25,477		24,738	
Rent - In-kind Expense	16,200		16,200	
Miscellaneous-Accountin Software	6,936		6,500	
Total Expenses	3,237,662		3,120,640	Increase (0.04)
Net Income(Loss)	(0)		0	

TRANSDEV AMENDMENT 15

**FIFTEENTH AMENDMENT TO
PUBLIC PRIVATE PARTNERSHIP AGREEMENT**
By and Between
TEXOMA AREA PARATRANSIT SYSTEM, INC.
And
TRANSDEV SERVICES, INC.

This Fifteenth Amendment to Public Private Partnership Agreement (this “Fourteenth Amendment”) is entered into October 1, 2025 (the “Effective Date”) by and between the TEXOMA AREA PARATRANSIT SYSTEM, INC. (“TAPS” or “Authority”), a political subdivision of the State of Texas, whose address is 6104 Texoma Parkway, Sherman, Texas 75090, and TRANSDEV SERVICES, INC., a Maryland corporation (“Transdev”) (collectively “Parties”), which is qualified to do business in the State of Texas and whose principal offices are located at 700 E Butterfield Road, Suite 300 Lombard, IL 60148.

Recital Statement

TAPS and Transdev entered into a certain Public Private Partnership Agreement, dated March 8, 2016 (the “Agreement”), which was subsequently amended on or about: September 19, 2016; April 24, 2017; October 2, 2017; December 21, 2017; April 30, 2018; September 25, 2019; April 29, 2020; July 29, 2020; November 18, 2020; October 1, 2021, October 1, 2022, September 1, 2023, October 1, 2023 and October 1, 2024. All references herein to the “Agreement” shall include the amendments thereto. All amendments are incorporated herein to the “Agreement”.

Transdev and TAPS continue to share the goals of restoring public transit services; reducing the cost structure for delivering such public transit services; finding alternative public transit service delivery systems to respond to the varying needs of its riders; making capital and service improvements while recognizing TAPS’ budget limitations; finding new sources of funding in support capital improvements and improved services to the transit ridership; and developing a professional organization respecting the inherent worth and dignity of its employees.

The purpose of this Fifteenth Amendment is to negotiate/renegotiate the annual Transdev rates as stated in Sections 6.1 and 6.2 of the “Agreement”.

NOW THEREFORE, in consideration of the mutual promises and covenants recited herein and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby amend the Agreement (as amended) as follows:

1. Per section 1 “Term of Agreement” of the Agreement, the Initial Term of the Agreement shall be for five (5) years, commencing on the “Effective Date” and ending on February 28, 2021 (the “Initial Term”).
2. Per Section “Term of Agreement” of the Agreement, “Upon the Expiration of the Initial Term TAPS shall have the option to extend the agreement for a term of five (5) additional years upon terms and condition to be mutually agreed upon by the parties”.

3. TAPS has indicated that it wishes to exercise the option to extend the agreement for five (5) additional years (“Option Years”) and the Parties have agreed that the Effective Date of the Option Years will coincide with TAPS fiscal year and that the Option Years will be effective October 1, 2020.
4. The Parties further agree that the term of the Option Years will end on February 28, 2026.
5. The Parties acknowledge that Sections 6.1 and 6.2 of the Agreement allow for an annual negotiation/renegotiation of Transdev’s rate and affirmatively state that it is their intention to allow for an annual negotiation/renegotiation of Transdev’s rate for the Option Years.
6. The Parties agree that the annual negotiation/renegotiation of Transdev’s rate shall coincide with TAPS fiscal year (October 1st) and Transdev shall have the immediate right to negotiate/renegotiate its current rate upon the execution of this Amendment, with the new rate effective on October 1, 2025. For the purpose of this amendment the monthly rates as proposed for the period of October 1, 2025 to February 28, 2026 are as follows: Fixed Fee Rate \$130,552.69 and the Service Hour Rate \$55.73 per platform service hour.
7. Should an epidemic, pandemic, outbreak, quarantine/shelter-in-place order by local, state or federal government, etc. (“Event”) occur resulting in a decrease/reduction of services of 15% or more of the cumulative monthly service hours, the Transdev and TAPS acknowledge the importance of retaining as much of Transdev’s workforce as possible during the Event to avoid delays, disruptions in services, additional costs (drug testing, retraining, rehiring, licensing, etc) when the Event is over and service returns to pre-Event levels and to maintain the public’s confidence in the services provided by TAPS.

If an Event should occur and there is an anticipated decrease/reduction of services of 15% or more of cumulative monthly service hours, the Transdev and TAPS shall confer and agree on one or more of the following actions.

- a) Maintaining payments from TAPS to Transdev at 100% of pre-Event services levels/contracted rates, with Transdev committing to retaining its pre-Event workforce;
- b) Allow for drivers’ wages to be switched from a variable rate to a fixed rate;
- c) Work to identify alternative sources of trips, service, revenue (e.g. meal delivery, medical supply delivery, etc.);
- d) Agree to amend the per trip rate to a level that allows Transdev to maintain as much of its workforce as possible and/or switching the per trip rate to an hourly rate to be agreed upon by TAPS and Transdev;
- e) Notwithstanding a decline in revenue hours, to determine a fixed monthly rate to permit Transdev to maintain its workforce devoted to the Service;
- f) Allow the Transdev to renegotiate, reduce and/or suspend its contracts with subcontractors, including DBE, SBE, etc., without having to confer and/or seek approval from Customer;

- g) Allow for Transdev to pass through to TAPS any "Start Up" costs to bring its workforce up to pre-Event levels, including but not limited to, drug testing, retraining, rehiring, licensing, etc.;
- h) Suspension of all Liquidated Damages, performance guarantees, penalties, etc. during the Event and/or after the event should the Transdev have to reduce its workforce; or
- i) Any other solutions/arrangements that the TAPS and Transdev should agree upon.

Any requirement in the Contract for a formal Written Amendment to the Contract shall not apply to this section and TAPS and Transdev have the right to bind themselves hereunder to one or more of the aforementioned actions via Memorandum of Understanding, Letter or email ("Event Agreement"), with said agreement having full force and effect as though it were a Written Amendment to the Contract

The Event Agreement shall remain in effect for 90 days. If the Event should last longer than 90 days and/or services levels have not returned to pre-Event levels within 90 days, the Transdev and TAPS will enter into a new Agreement.

All invoicing by Transdev and payments by TAPS under the Event Agreement shall adhere to the same invoicing and payment requirements/instructions outlined in this contract and neither party shall deviate from same.

8. Notices.

Any notice required under this Agreement shall be as follows:

To the Authority:

Texoma Area Paratransit Services
6104 Texoma Pkwy
Sherman, Texas, 75090
Attn: TAPS Board of Directors

To Transdev:

Transdev Services, Inc.
720 East Butterfield Road
Suite 300
Lombard, IL 60148
Attention: President

With a copy to:

Daniel Lee
EVP and General Counsel
Transdev North America, Inc.
720 East Butterfield Road
Suite 300
Lombard, IL 60148

9. **Confidentiality.**

The Parties shall endeavor to maintain the strict confidentiality of the terms of this Thirteenth Amendment, to the extent permitted by law or order of court, except as may be necessary to enforce the terms of this Thirteenth Amendment or to comply with state or federal law.

To the extent no addressed in this Amendment, all other terms and conditions of the Agreement and Amendments remain in full force and effect. Including, all invoicing by Transdev and payments by TAPS under this Agreement shall adhere to the same invoicing and payment requirements/instructions outlined in the Agreement and Amendments and neither party shall deviate from same.

IN WITNESS WHEREOF, the Parties hereto have caused this Amendment to be executed by their duly authorized officers.

ATTEST:_____

Secretary

BY:_____

SVP
Transdev Services, Inc.

ATTEST:_____

Secretary

BY:_____

Regional Controller
Transdev Services, Inc.

ATTEST:_____

TAPS Board Treasurer

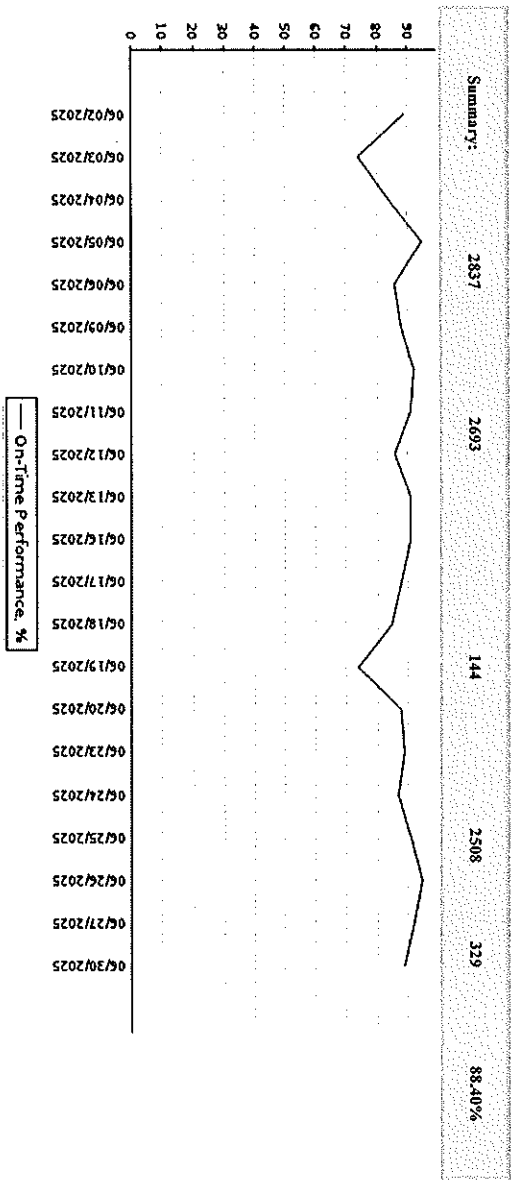
BY:_____

TAPS Board Chair
Texoma Area Paratransit System

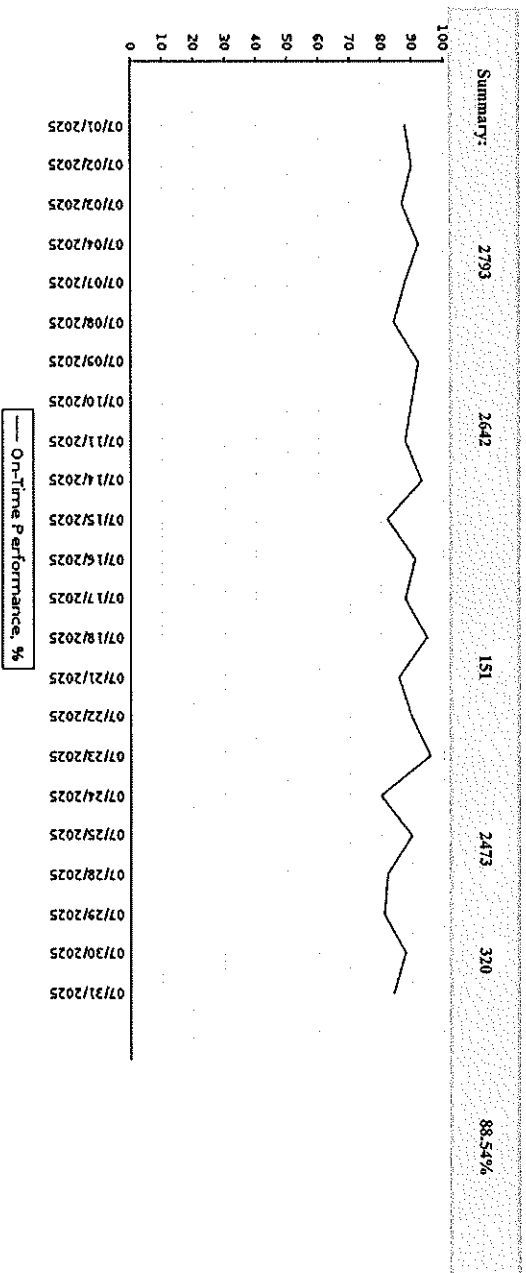
OPERATIONAL UPDATE

	Scheduled Trips	Actual Trips	% Taken	Denied Trip Request	Miles	Hours	Trips/ Hour	Miles/ Trip	Direct Op Cost	Cost/ Trip	MPH
Jul-24	3,788	3,593	95%	299	43,273	2,155	1.67	12.04	\$ 217,633	\$ 60.57	20.08
Aug-24	3,962	3,797	96%	319	43,307	2,159	1.76	11.41	\$ 218,076	\$ 57.43	20.06
Sep-24	3,705	3,492	94%	239	41,306	1,928	1.81	11.83	\$ 194,734	\$ 55.77	21.42
Oct-24	4,302	4,096	95%	28	48,341	2,241	1.83	11.80	\$ 226,382	\$ 55.27	21.57
Nov-24	3,510	3,320	95%	105	40,615	1,744	1.90	12.23	\$ 176,116	\$ 53.05	23.29
Dec-25	3,423	3,258	95%	122	39,964	1,796	1.81	12.27	\$ 181,434	\$ 55.69	22.25
Jan-25	3,424	3,260	95%	112	39,706	2,036	1.60	12.18	\$ 205,611	\$ 63.07	19.50
Feb-25	3,076	2,934	95%	144	35,195	1,795	1.63	12.00	\$ 181,329	\$ 61.80	19.60
Mar-25	3,652	3,485	95%	42	41,131	2,175	1.91	11.80	\$ 219,705	\$ 63.04	18.91
Apr-25	4,078	3,879	95%	12	43,062	2,126	1.82	11.10	\$ 214,732	\$ 55.36	20.25
May-25	3,691	3,512	95%	32	37,798	2,032	1.73	10.76	\$ 205,246	\$ 58.44	18.60
Jun-25	3,121	2,961	95%	172	32,599	1,716	1.73	11.01	\$ 173,330	\$ 58.54	19.00
Jul-25	3,042	2,877	95%	310	32,315	1,760	1.63	11.23	\$ 177,786	\$ 61.80	18.36
Average	3,598	3,420	95%	149	39,893	1,974.14	1.73	11.66	\$ 199,393	\$ 58.45	20.22

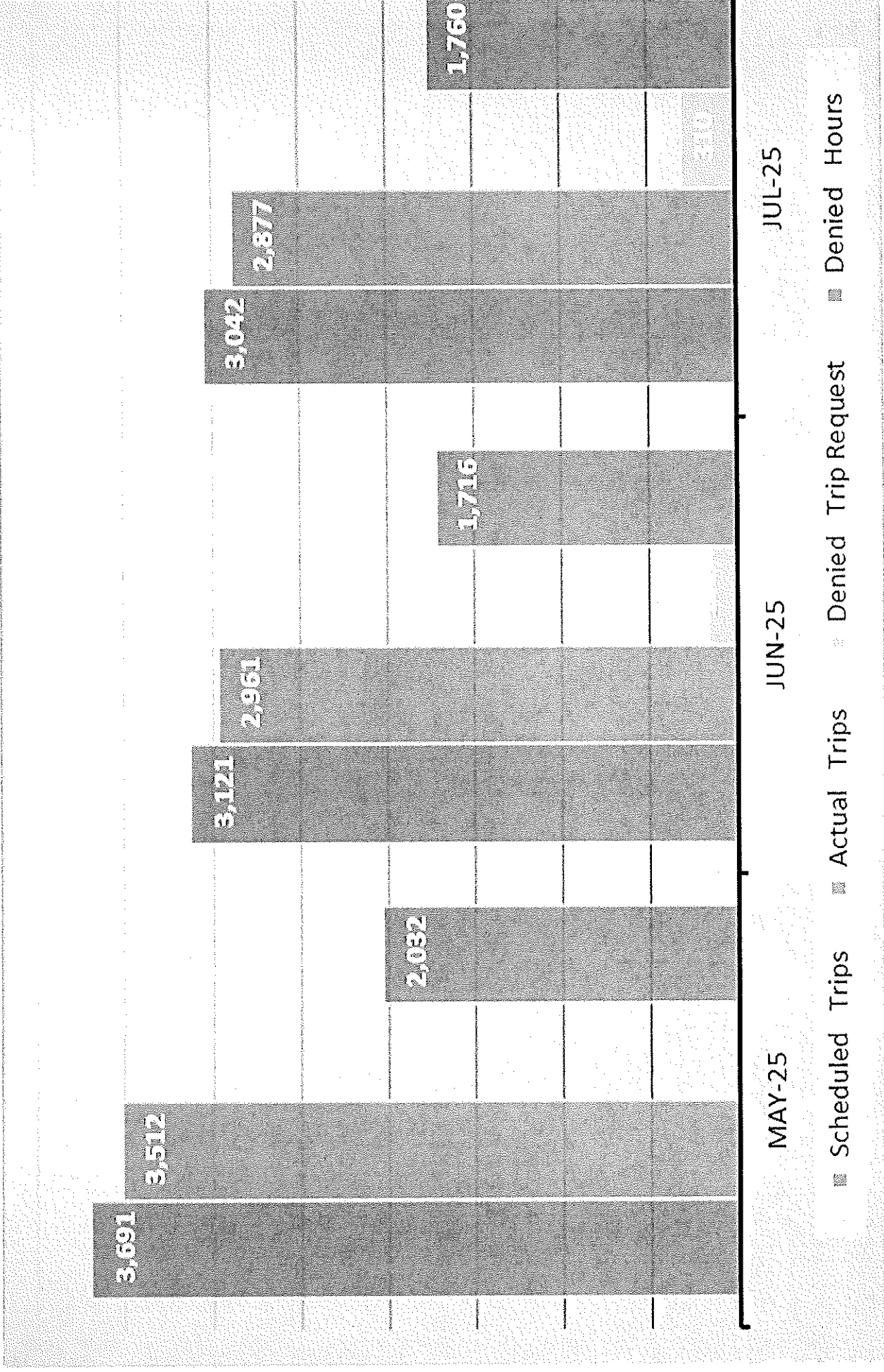
Jun-24	Totals				Trips/		Miles/		Direct Op		Cost/trip		% of trip		% of hrs		MPH	Trip Denials
	Scheduled	Actual	% taken	miles	hours	hour	trip	Cost	Cost/trip	% of trip	% of hrs							
Grayson	1978	1871	95%	16001.01	902.60	2.07	8.55	91,162.60	48.72	63%	53%	17.73	105					
Grayson Urban	1486	1405	95%	10094.9	600.48	2.34	7.18	60,648.48	43.17	47%	35%	16.81	91					
Grayson Rural	492	466	95%	5906.11	302.12	1.54	12.67	30,514.12	65.48	16%	18%	19.55	14					
Fannin	74	71	96%	2391.33	83.51	0.85	33.68	8,434.51	118.80	2%	5%	28.64	5					
Cooke	507	479	94%	3079.19	247.53	1.94	6.43	25,000.53	52.19	16%	14%	12.44	29					
Wise	385	367	95%	5762.64	256.80	1.43	15.70	25,936.80	70.67	12%	15%	22.44	25					
Clay	151	149	99%	3979.47	164.37	0.91	26.71	16,601.37	111.42	5%	10%	24.21	8					
Montague	26	24	92%	1385.04	61.33	0.39	57.71	6,194.33	258.10	1%	4%	22.58	0					
Monthly Total	3121	2961	95%	32598.68	1,716.14	1.73	11.01	173,330.14	58.54	100%	100%	19.00	172					



Totals													
Jul-25 Scheduled Actual													
	% taken	miles	hours	Trips/ hour	Miles/ trip	Direct Op Cost	Cost/trip	% of trip	% of hrs	MPH	Trip Denials		
Grayson	1737	1629	94%	13602.09	789.07	2.06	8.35	79,696.07	48.92	57%	45%	17.24	247
Grayson Urban	1351	1276	94%	8856.56	541.59	2.36	6.94	54,700.59	42.87	44%	31%	16.35	203
Grayson Rural	386	353	91%	4745.53	247.48	1.43	13.44	24,995.48	70.81	12%	14%	19.18	44
Fannin	110	108	98%	2649.15	87.77	1.23	24.53	8,864.77	82.08	4%	5%	30.18	11
Cooke	598	570	95%	4406.79	315.48	1.81	7.73	31,863.48	55.90	20%	18%	13.97	26
Wise	402	381	95%	6289.28	310.23	1.23	16.51	31,333.23	82.24	13%	18%	20.27	19
Clay	165	159	96%	3716.71	183.55	0.87	23.38	18,538.55	116.59	6%	10%	20.25	7
Montague	30	30	100%	1650.57	74.16	0.40	55.02	7,490.16	249.67	1%	4%	22.26	0
Monthly Total	3042	2877	95%	32314.59	1,760.26	1.63	11.23	177,786.26	61.80	100%	100%	18.36	310

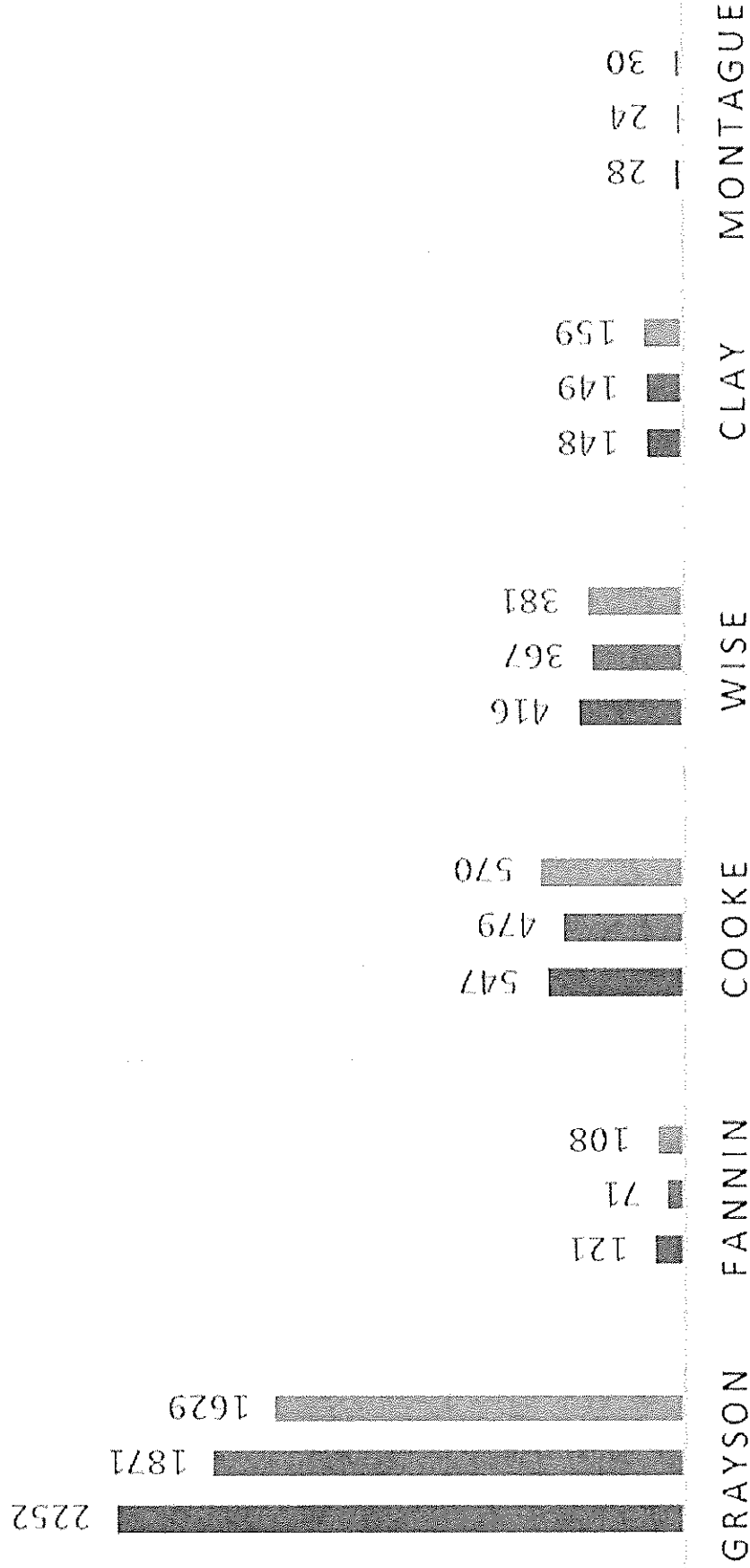


Operational Update



TRIPS BY COUNTY

■ May ■ June ■ July



Taps Maintenance Dept

Compliance Review Period

05/1/2025 - 05/31/2025

Section 1	Total number of PMIs completed			6
PMs completed				
SEQ 10 - 40	Target Miles	5000	3	
SEQ 50 - 80	Target Miles	5000	2	
SEQ 90 - 120	Target Miles	5000	1	
Buses completed	PM Type	Miles From previous PM	On time	Late
361	SEQ 60	5000	X	
365	SEQ 110	5000	X	
366	SEQ 20	5000	X	
370	SEQ 20	5000	X	
371	SEQ 30	5000	X	
375	SEQ 50	5000	X	

Accessible equipment in PMs

YES

Copies of PMs available

YES

SECTION 2 Major Maintenance Projects

Major Maintenance Projects completed ?

No

Notes	
NEW BUILDING CONSTRUCTION	

SECTION 3: Records Retention

Current List of TAPS Vehicles

YES

Daily Vehicle Checklists

YES

Preventative Maintenance Checklists

YES

Warranty Claims

NO

SECTION 4: Facilities / Equipment Condition

Maintenance Facilities in Good Condition ?

Yes / No

Notes	
-------	--

Checklist completed 5/3/2025

Equipment in Good Condition ?

Notes	
checklist completed 5/26/2025	

Reviewers Signature

Date

Taps Maintenance Dept

Compliance Review Period

06/1/2025 - 06/30/2025

Section 1	Total number of PMIs completed			11
PMs completed				
SEQ 10 - 40	Target Miles	5000	5	
SEQ 50 - 80	Target Miles	5000	4	
SEQ 90 - 120	Target Miles	5000	2	
Buses completed	PM Type	Miles From previous PM	On time	Late
352	SEQ 80	5011	X	
353	SEQ 80	4931	X	
355	SEQ 90	4937	X	
356	SEQ 30	4819	X	
358	SEQ 30	4917	X	
359	SEQ 110	4834	X	
362	SEQ 50	5032	X	
364	SEQ 60	4975	X	
367	SEQ 20	4853	X	
368	SEQ 30	4998	X	
376	SEQ 10	5000		

Accessible equipment in PMs

YES

Copies of PMs available

YES

SECTION 2 Major Maintenance Projects

Major Maintenance Projects completed ?

No

Notes	
	NEW BUILDING CONSTRUCTION

SECTION 3: Records Retention

Current List of TAPS Vehicles

YES

Daily Vehicle Checklists

YES

Preventative Maintenance Checklists

YES

Warranty Claims

NO

SECTION 4: Facilities / Equipment Condition

Maintenance Facilities in Good Condition ?

Yes / No

Notes	
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Checklist completed 6/6/2025

Equipment in Good Condition ?

Notes	
	checklist completed 6/22/2025

Reviewers Signature

Date

07/1/2025 - 07/31/2025

Section 1	Total number of PMIs completed			11
PMs completed				
SEQ 10 - 40	Target Miles	5000	5	
SEQ 50 - 80	Target Miles	5000	4	
SEQ 90 - 120	Target Miles	5000	2	
Buses completed	PM Type	Miles From previous PM	On time	Late
342	SEQ 60	4930	X	
361	SEQ 70	4909	X	
362	SEQ 60	4995	X	
363	SEQ 40	4748	X	
371	SEQ 40	5000	X	

Accessible equipment in PMs

YES

Copies of PMs available

YES

SECTION 2 Major Maintenance Projects

Major Maintenance Projects completed ?

No

Notes	
	NEW BUILDING CONSTRUCTION

SECTION 3: Records Retention

Current List of TAPS Vehicles

YES

Daily Vehicle Checklists

YES

Preventative Maintenance Checklists

YES

Warranty Claims

NO

SECTION 4: Facilities / Equipment Condition

Maintenance Facilities in Good Condition ?

Yes / No

Notes	
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Checklist completed 7/7/2025

Equipment in Good Condition ?

Notes	
	checklist completed 7/28/2025

Reviewers Signature

Date

Quarterly Management & Compliance Report

FY 2025

Drug & Alcohol	May	June	July
Pre-employment	1	1	0
Employees in test pool	24	24	25
Random	2	2	0
Post Accident	0	0	1
Reasonable Suspicion	0	0	0
Positive Results	0	0	0
Drug Lab Certification	YES	YES	YES

Safety	May	June	July
Preventable Incidents	0	1	2
Total incidents	2	1	3
Preventable Injuries	0	0	2
Total Injuries	0	0	2
Safety Meetings	1	1	1

Complaints	May	June	July
Americans with Disabilities Act (ADA)	0	0	0
Title VI	0	0	0
General	0	0	0